

## The Ring of Fractions of a Jordan Algebra

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We derive a necessary and sufficient Ore type condition for a Jordan algebra to have a ring of fractions. © 2001 Academic Press

### 1. INTRODUCTION

Let  $R$  be an associative ring. Let  $S$  be a subset of  $R$  which is closed under multiplication and which consists of regular elements (not zero divisors). An overring  $R \subseteq Q$  is called a (right) ring of fractions of  $R$  with respect to  $S$  if (1) all elements from  $S$  are invertible in  $Q$ , (2) an arbitrary element  $q \in Q$  can be represented as  $as^{-1}$ , where  $a \in R$ ,  $s \in S$ . Ore (see [8]) found a necessary and sufficient condition for a right ring of fractions to exist:

*The Ore Condition.* For arbitrary elements  $a \in R$ ,  $s \in S$  there exist elements  $a' \in R$ ,  $s' \in S$  such that  $as' = sa'$ .

Jacobson *et al.* [2] proved the existence of rings of fractions of Jordan domains satisfying some Ore-type conditions. In this paper we derive a necessary and sufficient Ore-type condition for an arbitrary Jordan algebra to have a ring of fractions. Goldie's theorems in Jordan algebras (an important application of Ore localization) have been studied in [11, 12].

Throughout the paper we will consider algebras over a field  $F$ ,  $\text{char } F \neq 2, 3$ .

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A (linear) Jordan algebra is a vector space  $J$  with a binary operation  $(x, y) \rightarrow xy$  satisfying the following identities:

$$(J1) \quad xy = yx,$$

$$(J2) \quad (x^2y)x = x^2(yx).$$

For an element  $s \in J$  let  $R(x)$  denote the right multiplication  $R(x): a \rightarrow ax$  in  $J$ .

The linearization of (J2) can be written in terms of operators as

$$\begin{aligned} R((xy)z) + R(x)R(z)R(y) + R(y)R(z)R(x) \\ = R(xy)R(z) + R(xz)R(y) + R(yz)R(x) \\ = R(z)R(xy) + R(y)R(xz) + R(x)R(yz). \end{aligned}$$

We will refer to it as the Jordan identity.

For elements  $x, y, z$  in  $J$ , by  $\{x, y, z\}$  we denote their Jordan triple product,  $\{x, y, z\} = (xy)z + x(yz) - (xz)y$ .

By  $U(x, y)$  (resp.  $V(x, y)$ ) we will denote the operators  $zU(x, y) = \{x, z, y\}$  (resp.  $zV(x, y) = \{x, y, z\}$ ).

For arbitrary elements  $x, y \in J$  the operator  $D(x, y) = R(x)R(y) - R(y)R(x)$  is known to be a derivation of  $J$  (see [1]).

We denote  $U(x) = U(x, x)$ . An element  $a$  of a Jordan algebra  $J$  is called regular if the operator  $U(a)$  is injective.

**DEFINITION 1.1** (compare to the definition in [2]). An Ore monad (or simply a monad, by short)  $S$  of  $J$  is a nonempty subset of  $J$ , consisting of regular elements such that for arbitrary elements  $s, s' \in S$

- (i)  $s^2, sU(s') \in S$ ,
- (ii)  $SU(s) \cap SU(s') \neq \emptyset$ .

**DEFINITION 1.2.** Let  $J$  be a Jordan algebra. A Jordan algebra  $Q$ ,  $J \subseteq Q$  is said to be a ring of fractions of  $J$  with respect to a monad  $S$  if

- (i) an arbitrary element of  $S$  is invertible in  $Q$ ,
- (ii) for an arbitrary element  $q \in Q$  there exists an element  $s \in S$  such that  $q \cdot s \in J$ ,  $q \cdot s^2 \in J$ .

For a Jordan algebra  $J$  let  $R\langle J \rangle$  denote its multiplication algebra, i.e., the subalgebra of  $End_F(J)$  generated by all multiplications  $R(a)$ ,  $a \in J$ .

**DEFINITION 1.3.** Let  $S \subseteq J$  be a monad of a Jordan algebra  $J$ . We say that  $J$  satisfies the Ore condition with respect to  $S$  if for an arbitrary element  $s \in S$  and for an arbitrary operator  $W \in R\langle J \rangle$  there exist an element  $s' \in S$  and an operator  $W' \in R\langle J \rangle$  such that  $W'U(s) = U(s')W$ .

The main theorem of this paper is

**THEOREM 1.1.** *Let  $J$  be a Jordan algebra and let  $S$  be a monad in  $J$ . Then  $J$  has a ring of fractions with respect to  $S$  if and only if  $J$  satisfies the Ore condition with respect to  $S$ .*

We construct a ring of fractions  $Q(J)$  inside the ring of partially defined derivations of the Tits–Kantor–Koecher Lie algebra  $L(J)$  following the idea of Johnson, Utumi, and Lambek (see [6, 8]).

For an element  $s \in S$  denote  $K_s = JU(s) + Fs + Fs^2$ , which is an inner ideal of  $J$ . Let  $R\langle K_s \rangle$  denote the subalgebra of  $R\langle J \rangle$  generated by all multiplications  $R(a)$ ,  $a \in K_s$ .

## 2. NECESSITY OF THE ORE CONDITION

We will start this section with some equivalent characterizations of the Ore condition.

**PROPOSITION 2.1.** *Let  $J$  be a Jordan algebra and let  $S$  be a monad in  $J$ . The following conditions are equivalent:*

(1)  *$J$  satisfies the Ore condition with respect to  $S$ . That is, for an arbitrary element  $s \in S$ , an arbitrary operator  $W \in R\langle J \rangle$ , there exist an element  $s' \in S$  and an operator  $W' \in R\langle J \rangle$  such that  $W'U(s) = U(s')W$ .*

(2) *For arbitrary elements  $s \in S$ ,  $a \in J$  there exist an operator  $W' \in R\langle J \rangle$  and an element  $s' \in S$  such that  $W'U(s) = U(s')R(a)$ .*

(3) *For arbitrary elements  $a \in J$ ,  $s \in S$  there exists an element  $s' \in SU(s)$  which annihilates the element  $a$  modulo  $K_s$ , that is,*

(i)  $a \cdot s' \in K_s$  and

(ii)  $D(a, s') \in R\langle K_s \rangle$  (compare with [10]).

*Proof.* Clearly (1) implies (2).

(2) implies (1). Let us show that the set of operators  $W \in R\langle J \rangle$  with the property that for an arbitrary element  $s \in S$  there exists an element  $s' \in S$  such that  $U(s')W \in R\langle J \rangle U(s)$  is a subring of  $R\langle J \rangle$ .

Suppose that the operators  $W_1, W_2 \in R\langle J \rangle$  have this property and let  $s$  be an arbitrary element of  $S$ . Then there exist elements  $s_1, s_2 \in S$  such that  $U(s_1)W_1 \in R\langle J \rangle U(s)$  and  $U(s_2)W_2 \in R\langle J \rangle U(s)$ . By the definition of an Ore monad  $SU(s_1) \cap SU(s_2) \neq \emptyset$ . Let  $s_3 \in SU(s_1) \cap SU(s_2)$ . Then  $U(s_3)(W_1 + W_2) \in R\langle J \rangle U(s)$ .

There exists an element  $s_4 \in S$  such that  $U(s_4)W_1 \in R\langle J \rangle U(s_2)$ . Then  $U(s_4)W_1W_2 \in R\langle J \rangle U(s)$ .

Since this subring contains generators  $R(a)$ ,  $a \in J$ , of  $R\langle J \rangle$ , it follows that it is equal to  $R\langle J \rangle$ .

(2) implies (3). Let's assume that  $J$  satisfies (2). Then given arbitrary elements  $a \in J$ ,  $s \in S$  there exist an element  $s_1 \in S$  and an operator  $W' \in R\langle J \rangle$  such that  $U(s_1)R(a) = W'U(s)$ .

Since  $SU(s_1) \cap SU(s) \neq \emptyset$ , let  $s' = s_2U(s_1) \in SU(s_1) \cap SU(s)$ .

Hence  $s' \cdot a = s_2U(s_1)R(a) = s_2W'U(s) \in K_s$ . Consequently,  $D(a, s'^2) = 2D(as', s') \in R\langle K_s \rangle$ .

On the other hand,  $s'^2 = (s_2U(s_1))^2 = s_1^2U(s_2)U(s_1)$  and so

$$s'^2a = s_1^2U(s_2)U(s_1)R(a) = s_1^2U(s_2)W'U(s) \in K_s.$$

The element  $s'^2 \in SU(s)$  annihilates  $a$  modulo  $K_s$ .

(3) implies (2). Now we will assume that given arbitrary elements  $a \in J$  and  $s \in S$  there exists an element  $s' \in SU(s)$  that annihilates  $a$  modulo  $K_s$ .

We have  $U(s')R(a) = 2U(s', s'a) - R(a)U(s')$ .

If  $s' = s_2U(s)$  then  $U(s') = U(s)U(s_2)U(s)$  and besides  $s'a \in K_s$ . Hence  $U(s', s'a) \in R\langle J \rangle U(s)$ . This implies the assertion.

*Remark 1.* We can define in a similar way the “right Ore condition.” Proceeding as in the proof of (3) implies (2) above, we can prove that (3) implies that for arbitrary elements  $a \in J$ ,  $s \in S$  there exist an element  $s' \in S$  and an operator  $W \in R\langle J \rangle$  such that  $R(a)U(s') = U(s)W$ . Consequently, the “left Ore condition” implies “the right Ore condition.”

**LEMMA 2.1.** *Let  $S$  be a monad in a Jordan algebra  $J$ . Let  $Q$  be a Jordan algebra that contains  $J$ . For an arbitrary element  $q \in Q$  the condition that there exists an element  $s \in S$  such that  $qs \in J$ ,  $qs^2 \in J$ , is equivalent to the condition that there exists an element  $t \in S$  such that  $qK_t \subseteq J$ .*

*Proof.* If  $qK_t \subseteq J$  then  $qt \in J$  and  $qt^2 \in J$ .

Conversely, if  $s \in S$  and  $qs, qs^2 \in J$  then  $qK_t \subseteq J$ , where  $t = s^2$ . Indeed,  $qR(s^2) \in J$  and  $qR(s^4) = q(-2R(s)^2R(s^2) + 2R(s)R(s^3) + R(s^2)^2) \in J$ . Moreover, for an arbitrary element  $b \in J$  we have

$$qR(bU(s^2)) = 2\{qs, bU(s), s\} - \{qU(s), b, s^2\} \in J.$$

The lemma is proved.

**PROPOSITION 2.2.** *If a Jordan algebra  $J$  has a ring of fractions with respect to a monad  $S \subseteq J$ , then  $J$  satisfies the Ore condition with respect to  $S$ .*

*Proof.* Let  $Q$  be a ring of fractions of  $J$  with respect to  $S$ . We need to prove that for arbitrary elements  $a \in J$ ,  $s \in S$  there exists an element

$s_1 \in S$  and an operator  $W \in R\langle J \rangle$  such that  $U(s_1)R(a) = WU(s)$ , or equivalently,  $U(s_1)R(a)U(s^{-1}) \in R\langle J \rangle$ .

Since  $R(a)U(s^{-1}) + U(s^{-1})R(a) = 2U(s^{-1}a, s^{-1})$  we have

$$U(s_1)R(a)U(s^{-1}) = -U(s_1)U(s^{-1})R(a) + 2U(s_1)U(s^{-1}a, s^{-1}).$$

Linearizing the identity  $U(x)U(y) = 4V(x, y)^2 - 2V(x, xU(y))$  we get

$$\begin{aligned} U(x)U(y, z) &= 2V(x, y)V(x, z) + 2V(x, z)V(x, y) \\ &\quad - 2V(x, xU(y, z)). \end{aligned}$$

In particular,

$$\begin{aligned} U(s_1)U(s^{-1}a, s^{-1}) &= 2V(s_1, s^{-1})V(s_1, s^{-1}a) + 2V(s_1, s^{-1}a)V(s_1, s^{-1}) \\ &\quad - 2V(s_1, s_1U(s^{-1}, s^{-1}a)). \end{aligned}$$

Denote  $q_1 = s^{-1}$ ,  $q_2 = s^{-1}a$ . By Lemma 2.1 there exist elements  $t_1, t_2 \in S$  such that  $q_i K_{t_i} \subseteq J$ ,  $i = 1, 2$ . Choose an element  $t \in SU(t_1) \cap SU(t_2) \cap SU(s)$ . Then  $K_t \subseteq K_{t_1} \cap K_{t_2}$ .

We have  $V(q_i, K_t^2) \subseteq D(q_i, K_t^2) + R(q_i K_t^2) \subseteq R\langle J \rangle$  since  $q_i K_t^2 \subseteq q_i K_t \subseteq J$  and  $D(q_i, K_t^2) \subseteq D(q_i K_t, K_t) \subseteq R\langle J \rangle$ .

Let  $s_1 = t^2 \in K_t^2$ . Then

$$U(s_1)U(s^{-1})R(a), V(s_1, s^{-1})V(s_1, s^{-1}a), V(s_1, s^{-1}a)V(s_1, s^{-1}) \in R\langle J \rangle$$

and it remains to show that  $V(s_1, s_1U(s^{-1}, s^{-1}a)) \in R\langle J \rangle$ .

Linearizing the identity  $V(x, xU(y)) = V(yU(x), y)$  we get the identity  $V(x, xU(y, z)) = V(yU(x), z) + V(zU(x), y)$ . Hence

$$V(s_1, s_1U(s^{-1}, s^{-1}a)) = V(s^{-1}U(s_1), s^{-1}a) + V((s^{-1}a)U(s_1), s^{-1}).$$

Now,  $s^{-1}U(s_1) = s^{-1}U(t)U(t) \in K_t$ , and therefore  $V(s^{-1}U(s_1), s^{-1}a) \in R\langle J \rangle$ .

Similarly,  $(s^{-1}a)U(s_1) = q_2U(t)U(t) \in JU(t) \subseteq K_t$ , which implies

$$V((s^{-1}a)U(s_1), s^{-1}) \in R\langle J \rangle.$$

The proposition is proved.

### 3. CONSTRUCTION OF THE RING OF QUOTIENTS

Throughout this section we will assume that a Jordan algebra  $J$  satisfies the Ore condition with respect to a monad  $S \subseteq J$ .

Let us show that to embed  $J$  in a ring of fractions it is sufficient to embed  $J$  in a Jordan overring  $\tilde{Q}$  in which all elements from  $S$  are invertible.

**PROPOSITION 3.1.** *Let  $J \subseteq \tilde{Q}$  and all elements from  $S$  are invertible in  $\tilde{Q}$ . Then the subalgebra  $Q = \langle J, S^{-1} \rangle$  of  $\tilde{Q}$  generated by  $J$  and by all inverses  $s^{-1}, s \in S$ , is a ring of fractions of  $J$  with respect to  $S$ .*

*Proof.* Say that an element  $q \in \tilde{Q}$  has property  $(^*)$  if for an arbitrary element  $s' \in S$  there exists an element  $s \in S$  such that  $q \cdot K_s \subseteq K_{s'}$ .

From Lemma 2.1 it follows that elements of  $J$  have property  $(^*)$ .

Let us show that an arbitrary inverse  $t^{-1}, t \in S$ , has property  $(^*)$ . Choose  $s' \in S$ . There exists an element  $s \in S$  such that  $tK_s \subseteq K_{s'}$ . Then  $t^{-1} \cdot K_{sU(t)} \subseteq K_s \cdot t \subseteq K_{s'}$ .

It is clear that if elements  $a, b \in \tilde{Q}$  have property  $(^*)$  then their sum  $a + b$  has property  $(^*)$ .

Suppose that an element  $a \in \tilde{Q}$  has property  $(^*)$ . We will show that  $a^2$  has property  $(^*)$ .

Let  $s' \in S$ . There exists an element  $s_1 \in S$  such that  $a \cdot K_{s_1} \subseteq K_{s'}$ . Similarly, there exists an element  $s \in S$  such that  $a \cdot K_s \subseteq K_{s_1} \cap K_{s'}$ . We can also assume that  $s \in K_{s'}$ . We have

$$\begin{aligned} a^2 R(K_s^2) &= aR(a)R(K_s^2) \\ &\subseteq aR(K_s)R(a \cdot K_s) + aR(K_s)R(a)R(K_s) + aR(a \cdot K_s^2). \end{aligned}$$

Furthermore,  $a \cdot K_s \subseteq K_{s'}$ ,  $aR(K_s)R(a) \subseteq K_{s_1} \cdot a \subseteq K_{s'}$ .

Hence,  $a^2 \cdot K_s^2 \subseteq K_{s'}$  and  $a^2 \cdot K_{s^2} \subseteq K_{s'}$ .

From what we proved it follows that an arbitrary element from  $Q = \langle J, S^{-1} \rangle$  satisfies  $(^*)$ . By Lemma 2.1,  $Q$  is a ring of fractions of  $J$ . The proposition is proved.

A Jordan algebra  $J$  gives rise to a  $\mathbb{Z}$ -graded Lie algebra  $K(J) = K(J)_{-1} + K(J)_0 + K(J)_1$ , which is known as the Tits–Kantor–Koecher construction (see [3, 4, 9]). Let  $\{a, b, c\} = (ab)c + a(bc) - b(ac)$  denote the so-called Jordan triple product of elements  $a, b, c \in J$ . Consider two copies  $J^-, J^+$  of the vector space  $J$ . We identify an element  $a \in J$  with elements  $a^-$  in  $J^-$  and  $a^+$  in  $J^+$ . For arbitrary elements  $a^- \in J^-, b^+ \in J^+$  we define a linear operator  $\delta(a^-, b^+) \in \text{End}_F J^- \oplus \text{End}_F J^+$  via

$$\delta(a^-, b^+) : \begin{cases} c^- \rightarrow \{a, b, c\}^- \\ c^+ \rightarrow -\{b, a, c\}^+ \end{cases}$$

Jordan identities imply that for arbitrary elements  $a, b, c, d \in J$  we have  $\delta[(a^-, b^+), \delta(c^-, d^+)] = \delta(\delta(a^-, b^+)c^-, d^+) + \delta(c^-, \delta(a^-, b^+)d^+)$ , so the linear space  $\delta(J^-, J^+)$  of all operators  $\delta(a^-, b^+)$ ;  $a, b \in J$ , is a Lie algebra.

Now consider the direct sum of vector spaces

$$K(J) = J^- \oplus \delta(J^-, J^+)J.$$

Define a bracket  $[\ , \ ]$  on  $K(J)$  via  $[J^-, J^-] = [J^+, J^+] = (0)$ ; for arbitrary elements  $a^- \in J^-$ ,  $b^+ \in J^+$ ,  $[a^-, b^+] = -[b^+, a^-] = \delta(a^-, b^+)$ ; for an arbitrary element  $x \in J^- + J^+$  and for an operator  $\delta \in \delta(J^-, J^+)$   $[\delta, x] = \delta(x) = -[x, \delta]$ ; elements from  $\delta(J^-, J^+)$  are commuted as linear operators. A straightforward verification shows that  $K(J)$  is a Lie algebra.

Denote  $L_s, L_s = K(K_s) = K_s^- + [K_s^-, K_s^+] + K_s^+$ , a Lie subalgebra of  $L = K(J)$ .

PROPOSITION 3.2. *Let  $K_{s'} \subseteq K_s$  (and so  $L_{s'} \subseteq L_s$ ) and let  $D : L_s \rightarrow L$  be a derivation such that  $D|_{L_{s'}} = 0$ . Then  $D = 0$ .*

Before proving Proposition 3.2 we need some preliminary lemmas.

LEMMA 3.1. *The centralizer of  $L_s$  in  $L$  is zero.*

*Proof.* Let us show that no nonzero element from  $J^-$  commutes with  $[K_s^-, K_s^+]$ . If  $a^- \in J^-$  and  $[a^-, [K_s^-, K_s^+]] = (0)$  then  $\{a, K_s^-, K_s^+\} = (0)$ . Therefore  $\{a, s, s\} = a \cdot s^2 = 0$  and  $\{a, s^2, s^2\} = a \cdot s^4 = 0$ . This implies that  $s^4$  lies in the annihilator  $Ann_J a$  in the sense of [10]. Since  $s^4$  is a regular element it follows that  $a = 0$ .

Similarly, no nonzero element from  $J^+$  commutes with  $[K_s^-, K_s^+]$ . Now let  $a^0 \in [J^-, J^+]$  lie in the centralizer of  $L_s$ . If  $[J^-, a^0] = [J^+, a^0] = (0)$  then  $a^0 = 0$ . Let us assume that  $0 \neq [b^-, a^0]$  for some element  $b^- \in J^-$ . By Proposition 2.1 there exists an element  $s' \in \{s, S, s\}$  such that  $[b^-, [K_{s'}^+, K_{s'}^-]] \subseteq K_s^-$ .

Hence,  $[[b^-, a^0], [K_{s'}^+, K_{s'}^-]] = [[b^-, [K_{s'}^+, K_{s'}^-]], a^0] \subseteq [K_s^-, a^0] = (0)$ , which contradicts what was proved above.

Since the centralizer of  $L_s$  is a graded algebra we conclude that it is equal to  $(0)$ . The lemma is proved.

LEMMA 3.2. *For arbitrary elements  $a \in L$ ,  $s \in S$  there exists an element  $s' \in S$  such that  $[a, L_{s'}] \subseteq L_s$ .*

*Proof.* Let's assume that for given elements  $a, b \in L$  there exist elements  $s', s'' \in S$  such that  $[a, L_{s'}] \subseteq L_s$  and  $[b, L_{s''}] \subseteq L_s$ . Choose an element  $s'''$  such that  $L_{s'''} \subseteq L_{s'} \cap L_{s''}$ . Then  $[a + b, L_{s'''}] \subseteq [a, L_{s'''}] + [b, L_{s'''}] \subseteq [a, L_{s'}] + [b, L_{s''}] \subseteq L_s$ .

Hence we can assume that  $a \in J^- \cup [J^-, J^+] \cup J^+$ . It is sufficient to assume that  $a \in J^- \cup J^+$ . Indeed, suppose that for elements from  $J^- \cup J^+$  the assertion of the lemma is valid. Let  $a^- \in J^-$ ,  $a^+ \in J^+$ ,  $s \in S$ . Then there exists an element  $s' \in S$  such that  $[a^-, L_{s'}] \subseteq L_s$ ,  $[b^+, L_{s'}] \subseteq L_s$ . Similarly, there exists an element  $s'' \in S$  such that  $[a^-, L_{s''}] \subseteq L_{s'}$ ,  $[b^+, L_{s''}] \subseteq L_{s'}$ . Then

$$\begin{aligned} [[a^-, b^+], L_{s''}] &\subseteq [a^-, [b^+, L_{s''}]] + [b^+, [a^-, L_{s''}]] \\ &\subseteq [a^-, L_{s'}] + [b^+, L_{s'}] \subseteq L_s. \end{aligned}$$

So let  $a^- \in J^-$ ,  $s \in S$ . We need to prove the existence of an element  $s' \in S$  such that  $[a^-, K_{s'}^+] \subseteq [K_s^-, K_s^+]$  and  $[a^-, [K_{s'}^-, K_{s'}^+]] \subseteq K_s^-$ .

By Proposition 2.1 there exists an element  $s' \in K_s$  that annihilates  $a$  modulo  $K_s$ . Hence

$$[a^-, [[K_{s'}^+, K_{s'}^-], K_{s'}^+]] \subseteq [a^-, K_{s'}^+, K_{s'}^-, K_{s'}^+] \subseteq [K_s^+, K_s^-] \subseteq L_s.$$

Since  $s'^3 \in SU(s')$  also annihilates  $a$  modulo  $K_s$  and  $K_{s'^3} \subseteq \{K_{s'}, K_{s'}^-, K_{s'}^+\}$ , it follows that  $[a^-, L_{s'^3}] \subseteq L_s$ . The lemma is proved.

*Proof of Proposition 3.2.* Let  $L_{s'} \subseteq L_s$  and let  $D : L_s \rightarrow L$  be a derivation such that  $D(L_{s'}) = 0$ . Let us assume that there exists an element  $a \in L_s$  such that  $D(a) \neq 0$ .

By Lemma 3.2 there exists an element  $s'' \in S$  such that  $[a, L_{s''}] \subseteq L_{s'}$  and we can assume without loss of generality that  $L_{s''} \subseteq L_{s'}$ .

Then  $D(L_{s''}) = (0)$  and  $[D(a), L_{s''}] \subseteq [a, D(L_{s''})] + D([a, L_{s''}]) \subseteq D(L_{s''}) = (0)$ . Hence  $[D(a), L_{s''}] = (0)$ , which contradicts Lemma 3.1. The proposition is proved.

For an element  $s \in S$  let  $\mathcal{D}_s^*$  denote the set of all derivations  $d : L_s \rightarrow L$ . Let  $\mathcal{D}^* = \bigcup_{s \in S} \mathcal{D}_s^*$ .

For derivations  $d, d' \in \mathcal{D}^*$ ,  $d \equiv d'$  if and only if there exists an element  $s \in S$  such that  $d, d'$  are both defined on  $L_s$  and  $d|_{L_s} = d'|_{L_s}$ .

Clearly, this is an equivalence relation. Consider the quotient set  $\mathcal{D} = \mathcal{D}^* / \equiv$ . Abusing notation we will denote the class of a derivation  $d \in \mathcal{D}^*$  as  $d$ .

Let's define a structure of a vector space on  $\mathcal{D}$ . Consider elements of  $\mathcal{D}$  represented by derivations  $d : L_s \rightarrow L$ ,  $d' : L_{s'} \rightarrow L$ . Let  $\alpha, \beta \in F$ . There exists an element  $s'' \in S$  such that  $L_{s''} \subseteq L_s \cap L_{s'}$ . Define  $\alpha d + \beta d' : L_{s''} \rightarrow L$ ,  $\alpha d + \beta d' : a \rightarrow \alpha d(a) + \beta d'(a)$ .

For an arbitrary  $s \in S$  the algebras  $L_s$  and  $L$  are  $\mathbb{Z}$ -graded. We say that a derivation  $d \in \mathcal{D}^*$  has degree  $i$  if  $d((L_s)_k) \subseteq L_{k+i}$  for  $k = -1, 0, 1$ .

Clearly,  $\mathcal{D}^* = \sum_{i=-2}^2 (\mathcal{D}^*)_i$ .

This  $\mathbb{Z}$ -gradation induces a  $\mathbb{Z}$ -gradation on  $\mathcal{D}$ ,  $\mathcal{D} = \sum_{i=-2}^2 \mathcal{D}_i$ .



LEMMA 3.3.  $\mathcal{D}_{-2} = (0) = \mathcal{D}_2$ .

*Proof.* Let  $d: L_s \rightarrow L$  be a derivation of degree 2. Then  $d: K_s^- \rightarrow J^+$ ,  $d: [K_s^-, K_s^+] \rightarrow (0)$  and  $d: K_s^+ \rightarrow (0)$ .

We will define a linear mapping  $\varphi: K_s \rightarrow J$  via  $a\varphi = b$  if and only if  $a^-d = b^+$ .

For arbitrary elements  $a, a', a'' \in K_s$  we have

$$\begin{aligned} [a^-, a'^+, a''^-]d &= [[a^-, a'^+], a''^-]d \\ &= [a^-d, a'^+, a''^-] + [a^-, a'^+d, a''^-] + [a^-, a'^+, a''^-d] \\ &= -[a'^+, a^-, (a''\varphi)^+], \end{aligned}$$

since  $[a^-d, a'^+] = 0$  and  $a'^+d = 0$ .

Hence,  $\{a, a', a''\}\varphi = -\{a', a, a''\}\varphi$ .

On the other hand,  $[a^-, a'^+, a''^-] = [a''^-, a'^+, a^-]$  and so

$$\{a, a', a''\}\varphi = -\{a', a, a''\}\varphi = -\{a', a'', a\}\varphi = -\{a\varphi, a'', a'\}.$$

In particular

$$\begin{aligned} a^5\varphi &= \{a^3, a, a\}\varphi = -\{a, a^3, a\}\varphi = -(a\varphi)R(a^4) \\ &= -\{a^3\varphi, a, a\} = \{\{a\varphi, a, a\}a, a\} = (a\varphi)R(a^2)R(a^2). \end{aligned}$$

Therefore  $b(R(a^4) + R(a^2)R(a^2)) = 0$  for  $b = a\varphi$ .

Similarly

$$\begin{aligned} a^7\varphi &= \{a^3, a, a^3\}\varphi = -\{a^3\varphi, a^3, a\} = (a\varphi)R(a^2)R(a^4) \\ &= \{a, a^3, a^3\}\varphi = -\{a\varphi, a^3, a^3\} = -(a\varphi)R(a^6) \end{aligned}$$

and so  $b(R(a^6) + R(a^2)R(a^4)) = 0$ .

But by the Jordan identity  $R(a^6) + 2R(a^2)^3 = 3R(a^2)R(a^4)$ . So

$$\begin{aligned} b(R(a^2)R(a^4) + R(a^2)^3) &= 0 = b(R(a^6) + R(a^2)R(a^4)) \\ &= b(-2R(a^2)^3 + 4R(a^2)R(a^4)). \end{aligned}$$

This implies that  $bR(a^2)^3 = 0 = bR(a^6) = bR(a^2)R(a^4)$ .

If  $a$  is a regular element (for example, an element from  $S$ ), then  $bR(a^2)U(a^2) = 0$  implies that  $bR(a^2) = 0$  and  $bR(a^4) = bR(a^6) = 0$ .

Consequently the element  $a^4$  annihilates  $b$  (in the sense of [10]), which implies  $b = 0$ .

We have proved that  $S\varphi = (0)$ , which implies that  $s^-d = 0$ . We have  $L_s^- = Fs^- + F(s^2)^- + [s^-, J^+, s^-]$ . This implies that  $L_s^-d = (0)$ .

In the same way we can prove that  $\mathcal{D}_{-2} = (0)$ . The lemma is proved.

Our next aim is to define a Lie bracket on  $\mathcal{D}$ .

**PROPOSITION 3.3.** *For an arbitrary derivation  $d : L_s \rightarrow L$  there exists an element  $s_1 \in SU(s)$  such that  $L_{s_1}d \subseteq L_s$ .*

Let us show that it is sufficient to prove the proposition for homogeneous derivations  $d$ . Indeed, let  $d : L_s \rightarrow L$  be a derivation,  $d = d_{-1} + d_0 + d_1$ , where the  $d_i$ 's are homogeneous derivations. Suppose that there exist elements  $s_i \in SU(s)$ ,  $-1 \leq i \leq 1$ , such that  $L_{s_i}d_i \subseteq L_s$ . If  $s' \in \bigcap_{-1 \leq i \leq 1} SU(s_i)$ , then  $L_{s'}d \subseteq L_s$ .

**LEMMA 3.4.** *Let  $d : L_s \rightarrow L$  be a homogeneous derivation of degree 0. Then there exists an element  $s' \in SU(s)$  such that  $L_{s'}d \subseteq L_s$ .*

*Proof.* Let  $s^+d = a^+$ ,  $s^-d = b^-$ ;  $a, b \in J$ . There exists an element  $t \in SU(s)$  which annihilates both elements  $a, b$  modulo  $K$ . Let  $s' = tU(s)$ . We have  $JU(s') \subseteq JU(t)U(s)$ . Hence,

$$\begin{aligned} (JU(s')^+)d &\subseteq [(JU(t))^-d, s^+, s^+] + [(JU(t))^- , s^+d, s^+] \\ &\quad + [(JU(t))^- , s^+, s^+d] \\ &\subseteq (JU(s))^+ + \{a, JU(t), s\}^+ \subseteq K_s^+, \end{aligned}$$

and  $s'^+d \in K_s$ ,  $(s'^2)^+d \in K_s$ .

Similarly  $K_s^-d \subseteq K_s^-$ . This implies  $L_{s'}d \subseteq L_s$ . The lemma is proved.

**LEMMA 3.5.** *Let  $b \in J$ ,  $s \in S$ . Then there exists an element  $s' \in SU(s)$  such that  $L_{s'}ad(b^-) \subseteq L_s$ .*

*Proof.* Let's consider an element of degree zero,  $d_0 = [s^+, b^-]$ . By Lemma 3.4 there exists  $t \in SU(s)$  such that  $[L_t, d_0] \subseteq L_s$ . Let  $s' = tU(s)$ . We need to prove that  $[J^+, t^-, t^-, s^+, s^+, b^-] \subseteq L_s$ .

This reduces to  $[J^+, t^-, t^-, [s^+, b^-], s^+] + [J^+, t^-, t^-, [s^+, [s^+, b^-]]] \subseteq L_s$ . But  $[J^+, t^-, t^-, d_0] \in K_s^-$  by the choice of  $t$ . Then

$$[J^+, t^-, t^-, d_0, s^+] \subseteq [K_s^-, K_s^+] \subseteq L_s.$$

As for the second summand,  $[J^+, t^-, t^-] \subseteq K_s^-$  and  $[s^+, [s^+, b^-]] \in K_s^+$ . Hence  $[J^+, t^-, t^-, [s^+, [s^+, b^-]]] \subseteq L_s$ .

On the other hand,

$$[J^+, t^-, t^-, s^+, d_0] = [J^+, t^-, t^-, d_0, s^+] = [J^+, t^-, t^-, s^+d_0] \subseteq L_s$$

since  $[J^+, t^-, t^-, s^+ d_0] = [[J^+, t^-, t^-], [s^+, [s^+, b^-]]] \subseteq [K_s^-, K_s^+] \subseteq L_s$ . The lemma is proved.

LEMMA 3.6. *Let  $d$  be a derivation of degree 1. Then there exists an element  $s' \in SU(s)$  such that  $L_{s'} d \subseteq L_s$ .*

*Proof.* Let  $b^- = [s^-, s^- d]$ . By Lemma 3.4 there exists an element  $t \in SU(s)$  such that  $[L_t, s^- d] \subseteq L_s$ .

By Lemma 3.5 there exists an element  $u \in SU(s)$  such that  $[L_u, b^-] \subseteq L_s$ . Let  $s' \in SU(t) \cap SU(u)$ . Then

$$\begin{aligned} [J^-, s'^+, s'^+, s^-, s^-, d] &\subseteq [J^-, s'^+, s'^+, s^-, d, s^-] \\ &\quad + [J^-, s'^+, s'^+, d, s^-, s^-] \\ &\quad + [J^-, s'^+, s'^+, [s^-, [s^-, d]]]. \end{aligned}$$

But

$$\begin{aligned} [J^-, s'^+, s'^+, b^-] &\subseteq L_2 \quad \text{and} \\ [J^-, s'^+, s'^+, s^-, d, s^-] &\subseteq [J^-, s'^+, s'^+, [s^-, d], s^-] \subseteq L_s, \end{aligned}$$

since  $s' \in SU(t)$ . Consequently  $L_{s'} d \subseteq L_s$ . The lemma is proved.

We can prove in a similar way the corresponding result for a derivation of degree  $-1$ .

This finishes the proof of Proposition 3.3.

Now we can define a Lie bracket on  $\mathcal{D}$ . Let  $d' \in \mathcal{D}_{s'}^*$ ,  $d'' \in \mathcal{D}_{s''}^*$ . Choose an element  $s \in SU(s') \cap SU(s'')$ . By Proposition 3.3 there exists an element  $t \in SU(s)$  such that  $L_t d' \subseteq L_s$  and  $L_t d'' \subseteq L_s$ .

Define the derivation  $d : L_t \rightarrow L$  via

$$xd = (xd')d'' - (xd'')d', \quad x \in L_t.$$

Define  $[d' / \equiv, d'' / \equiv] = d / \equiv$ .

It is easy to see that with the thus defined bracket  $\mathcal{D}$  becomes a graded Lie algebra,  $\mathcal{D} = \mathcal{D}_{-1} + \mathcal{D}_0 + \mathcal{D}_1$ .

Since  $\text{char } F \neq 2, 3$  the pair of spaces  $\mathcal{P} = (\mathcal{D}_{-1}, \mathcal{D}_1)$  is a Jordan pair (see [7]) with respect to operations

$$\{d_1^\epsilon, d_2^{-\epsilon}, d_3^\epsilon\} = [[d_1^\epsilon, d_2^{-\epsilon}], d_3^\epsilon] \in \mathcal{D}_\epsilon, \quad \epsilon = \pm 1.$$

We will prove that the Jordan pair  $(J^-, J^+)$  associated to  $J$  can be embedded into  $\mathcal{P}$ .

Define

$$\begin{aligned} \varphi : (J^-, J^+) &\rightarrow \mathcal{P} = (\mathcal{D}_{-1}, \mathcal{D}_1) \quad \text{via} \\ \varphi(a^\epsilon) &= ad(a^\epsilon), \quad \epsilon = \pm, a \in J. \end{aligned}$$

By Lemma 3.1 the linear transformation  $\varphi$  is injective. Since Jordan products in  $(J^-, J^+)$  and  $\mathcal{P}$  are defined via Lie brackets, it follows that  $\varphi$  is a homomorphism of Jordan pairs.

Let us show that for an arbitrary element  $s \in S$  the pair  $(\varphi(s^-), \varphi(s^+))$  is invertible.

Let  $\tilde{K}_s = JU(s)$ ,  $\tilde{L}_s = \tilde{K}_s^- + [\tilde{K}_s^-, \tilde{K}_s^+] + \tilde{K}_s^+$ . For an arbitrary element  $s' \in SU(s)$  we have  $K_{s'} \subseteq \tilde{K}_s$ ,  $L_{s'} \subseteq \tilde{L}_s$ . We will construct two derivations  $q^- : \tilde{L}_s \rightarrow L$ ,  $q^+ : \tilde{L}_s \rightarrow L$  of degrees  $-1, 1$ , respectively. Their restrictions to  $L_{s'}$  will define the inverse of  $(\varphi(s^-), \varphi(s^+))$ .

Let  $\tilde{K}_s^- q^- = (0)$ . For an element  $(aU(s))^+ \in K_s^+$  we let  $(aU(s))^+ q^- = [a^-, s^+]$ . Consider an element  $x_0 = \sum [k_i^-, (a_i U(s))^+] \in [\tilde{K}_s^-, \tilde{K}_s^+]$ ; let  $x_0 q^- = \sum_i [k_i^-, [a_i, s^+]] = -\sum_i [k_i^-, s^+, a_i^-]$ .

We need to verify that  $q^-$  is well defined. An element from  $\tilde{K}_s$  can be expressed in the form  $aU(s)$  uniquely. Hence  $q^-$  is well defined in  $\tilde{K}_s^+$ . However, we need to verify that  $\sum_i [k_i^-, (a_i U(s))^+] = 0$  implies  $\sum_i [k_i^-, s^+, a_i^-] = 0$ .

If  $-\sum_i [(a_i U(s))^+, k_i^-] = \sum_i [a_i^-, s^+, s^+, k_i^-] = 0$  then  $\sum_i [a_i^-, s^+, s^+, k_i^-, s^+] = 0$ .

Since  $(ad(s^+))^3 = 0$  and the characteristic is  $\neq 3$ , it follows that for an arbitrary element  $b^- \in L_{-1}$  we have

$$ad(s^+)^2 ad(b^-) ad(s^+) = ad(s^+) ad(b^-) ad(s^+)^2 \quad (*)$$

(see [5]).

Hence  $\sum_i [a_i^-, s^+, k_i^-, s^+, s^+] = 0$ . Since  $s$  is a regular element, it implies that  $\sum_i [a_i^-, s^+, k_i^-] = 0$ .

The linear mapping  $q^-$  has been well defined. Similarly, we can define a mapping  $q^+ : \tilde{L}_s \rightarrow L$  of degree 1.

Let's prove now that  $q^-$  (resp.  $q^+$ ) is a derivation.

Let  $e^0, a^0 \in [K_s^-, K_s^+]$ ,  $e^- \in K_s^-, e^+, a^+, b^+ \in K_s^+$ .

Since we know that  $[e^0, e^-] q^- = 0 = [e^0 q^-, e^-] = [e^0, e^- q^-]$ , we only need to check:

- (i)  $[a^+, b^+] q^- = 0 = [a^+, q^-, b^+] + [a^+, b^+ q^-]$ ,
- (ii)  $[e^0, e^+] q^- = 0 = [e^0 q^-, e^+] + [e^0, e^+ q^-]$ ,
- (iii)  $[e^0, a^0] q^- = [e^0 q^-, a^0] + [e^0, a^0 q^-]$ .

Let  $a^+ = \{s^+, \alpha^-, s^+\}$ ,  $b^+ = \{s^+, \beta^-, s^+\}$ . Then

$$\begin{aligned} [a^+ q^-, b^+] + [a^+, b^+ q^-] &= [\alpha^-, s^+, b^+] + [a^+, [\beta^-, s^+]] \\ &= -[\alpha^-, s^+, [\beta^-, s^+, s^+]] \\ &\quad + [\beta^-, s^+, [\alpha^-, s^+, s^+]] \\ &= [\alpha^-, s^+, s^+, [\beta^-, s^+]] - [\alpha^-, s^+, s^+, [\beta^-, s^+]] \\ &\quad - [\alpha^-, s^+, [\beta^-, s^+], s^+] \\ &= -[\alpha^-, s^+, \beta^-, s^+, s^+] + [\alpha^-, s^+, s^+, \beta^-, s^+] 0 = 0 \end{aligned}$$

by (\*). This proves (i).

In order to prove (ii), let's assume, by linearity, that  $e^0 = [k^-, k^+]$ ,  $k^+ = \{s^+, c^-, s^+\}$ , and  $e^+ = \{s^+, b^-, s^+\}$ .

Then

$$\begin{aligned} [e^0, e^+] &= -[k^+, k^-, e^+] = -\{\{s^+, c^-, s^+\}, k^-, \{s^+, b^-, s^+\}\} \\ &= -\{s^+, \{c^-, \{s^+, k^-, s^+\}, b^-\} s^+\}. \end{aligned}$$

By definition of  $q^-$ ,

$$[e^0, e^+] q^- = -[\{c^-, \{s^+, k^-, s^+\} b^-\}, s^+] = -[c^-, [s^+, k^-, s^+], b^-, s^+].$$

On the other hand,

$$e_0 q^- = [k^-, [c^-, s^+]] = -\{k^-, s^+, c^-\} \quad \text{and} \quad e^+ q^- = [b^-, s^+].$$

So we have to prove

$$\begin{aligned} [c^-, [s^+, k^-, s^+], b^-, s^+] &= [[k^-, s^+, c^-], [s^+, b^-, s^+]] \\ &\quad - [k^-, [s^+, c^-, s^+], [b^-, s^+]]. \end{aligned}$$

But

$$\begin{aligned} [c^-, [s^+, k^-, s^+]] &= [c^-, [s^+, k^-], s^+] - [c^-, s^+, [s^+, k^-]] \\ &= [[k^-, s^+, c^-], s^+] + [c^-, s^+, [k^-, s^+]] \\ &= [x^-, s^+] + [c^-, s^+, k^-, s^+] - [c^-, s^+, s^+, k^-] \\ &= 2[x^-, s^+] + [k^+, k^-], \end{aligned}$$

where  $x = \{k, s, c\}$ .

Hence,

$$[c^-, [s^+, k^-, s^+], b^-, s^+] = 2[x^-, s^+, b^-, s^+] + [k^+, k^-, b^-, s^+].$$

On the other hand

$$\begin{aligned} [[k^-, s^+, c^-], [s^+, b^-, s^+]] &- [k^-, k^+, [b^-, s^+]] \\ &= [x^-, [s^+, b^-, s^+]] - [k^-, k^+, [b^-, s^+]]. \end{aligned}$$

So we need to prove that

$$2[x^-, s^+, b^-, s^+] - [k^-, k^+, s^+, b^-] = [x^-, [s^+, b^-, s^+]],$$

which reduces to

$$[k^-, k^+, s^+, b^-] = [x^-, s^+, s^+, b^-].$$

It is sufficient to prove that  $[k^-, k^+, s^+] = [x^-, s^+, s^+]$ .

But, using (\*), we have  $[x^-, s^+, s^+] = c^- ad(s^+) ad(k^-) ad(s^+)^2 = c^- ad(s^+)^2 ad(k^-) ad(s^+) = -k^+ ad(k^-) ad(s^+) = [k^-, k^+, s^+]$ .

Finally, let's prove (iii).

Let  $e^0 = [k^-, k^+]$  as above and  $a^0 = [c^-, d^+]$ . So  $[e^0, a^0] = [[k^-, a^0], k^+] + [k^-, [k^+, a^0]]$ . Using (ii) we have

$$\begin{aligned} [e^0, a^0]q^- &= -[k^+q^-, [k^-, a^0]] - [[k^+, a^0]q^-, k^-] \\ &= -[k^+q^-, k^-, a^0] + [k^+q^-, a^0, k^-] - [k^+q^-, a^0, k^-] \\ &\quad + [a^0q^-, k^+, k^-] \\ &= [[k^-, k^+]q^-, a^0] - [a^0q^-, [k^-, k^+]] \\ &= [e^0q^-, a^0] - [a^0q^-, e^0]. \end{aligned}$$

So we have proved that  $q^-$  is a derivation.

Finally we will prove that the pairs  $(\varphi(s^-), \varphi(s^+))$  and  $(q^-, q^+)$  are mutually inverse.

Denote  $l = [q^-, \varphi(s^+)]$ . For an arbitrary element  $a = bU(s) \in \tilde{K}_s$  we have

$$[a^+, l] = [a^+, q^-, \varphi(s^+)] = [s^+, b^-, \varphi(s^+)] = [s^+, b^-, s^+] = a^+.$$

Furthermore, for arbitrary elements  $x \in J, s' \in SU(s)$

$$[[x^-, s'^+, s'^+], l] = [x^-, l, s'^+, s'^+] + 2[x^-, s'^+, s'^+] = [x^-, s'^+, s'^+],$$

which implies  $[[x^-, l] + x^-, s'^+, s'^+] = 0$ . Hence  $[x^-, l] = -x^-$ .

Similarly we conclude that  $[x^+, l] = x^+$ .

Now let  $d_1$  be a derivation of degree 1 defined on  $L_t = (L_t)_{-1} + (L_t)_0 + (L_t)_1, t \in S$ . For an arbitrary element  $b_i \in (L_t)_i$  we have

$$\begin{aligned} [b_i, [d_1, l]] &= [b_i, d_1, l] - [b_i, l, d_1] = (i+1)[b_i, d_1] - i[b_i, d_1] \\ &= [b_i, d_1]. \end{aligned}$$

Hence  $[L_t, [d_1, l] - d_1] = (0)$ . By Lemma 3.1,  $[d_1, l] = d_1$ .

Similarly  $[d_{-1}, l] = -d_{-1}$  for a derivation of degree  $-1$ .

Arguing as above one can show that  $[d_i, [q^+, \varphi(s^-)]] = -id_i$  for  $d_i \in \mathcal{D}_i$ ,  $i = -1, 0, 1$ .

Since  $\text{char } F \neq 2$  it implies that the pairs  $(\varphi(s^-), \varphi(s^+))$  and  $(q^-, q^+)$  are mutually inverse.

Now we can finish the proof of Theorem 1.1. Without loss of generality we will assume that the algebra  $J$  is unital. If not consider the unital hull  $\hat{J} = J + F1$  of  $J$  with the same monad  $S \subseteq \hat{J}$ . It is easy to see that  $\hat{J}$  still satisfies the Ore condition with respect to  $S$ .

Let us show that  $(\varphi(1^-), \varphi(1^+))$  is an identity of the Jordan pair  $\mathcal{P} = (\mathcal{D}_{-1}, \mathcal{D}_1)$  (see [7]).

Clearly,  $e = (\varphi(1^-), \varphi(1^+))$  is an idempotent of  $\mathcal{P}$ .

If  $s \in S$  then we showed above that  $\mathcal{D}_\epsilon = \{\varphi(s^\epsilon), \mathcal{D}_{-\epsilon}, \varphi(s^\epsilon)\}$ ,  $\epsilon = \pm 1$ , which implies that the whole  $\mathcal{P}$  lies in the 1-Peirce component of  $e$ . Hence  $e$  is an identity of  $\mathcal{P}$ .

Let  $\tilde{J}$  be the Jordan algebra on  $\mathcal{D}_{-1}$  with the multiplication  $x_{-1} \cdot y_{-1} = \{x_{-1}, \varphi(1^+), y_{-1}\}$ . Then the mapping  $J \rightarrow \tilde{J}$ ,  $a \rightarrow \varphi(a^-)$  is an embedding and for an arbitrary element  $s \in S$  its image  $\varphi(s^-)$  has the inverse  $q^- \in \tilde{J}$ .

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