

## ABSOLUTE ZERO DIVISORS IN JORDAN PAIRS AND LIE ALGEBRAS

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ABSTRACT. The following theorem is proved.

THEOREM. *A Lie algebra over a ring  $\Phi \ni \frac{1}{2}$ , generated by a finite set of elements of second order, is nilpotent.*

Bibliography: 6 titles.

One of the auxiliary theorems for A. I. Kostrikin's solution of the weakened Burnside problem for a prime exponent was

THEOREM 3 from [1] (see also [2]). *An Engel Lie algebra of index  $n$  over a field of characteristic  $p > n$ , generated by a finite collection of elements of second order, is nilpotent.*

We recall that an element  $a \in \mathcal{L}$  is called an *element of second order* if  $a^{*2} = 0$ , where  $a^*$  denotes the operator of Lie multiplication by the element  $a$ . If there is no 2-torsion in  $\mathcal{L}$ , then such elements are also called *absolute zero divisors* or *covers of thin sandwiches* (see §1).

Using the ideas and methods of Kostrikin [1], and also of Zel'manov [4] on a problem of A. I. Širšov, we prove the following theorem.

THEOREM 1. *A Lie algebra over a ring of scalars  $\Phi \ni \frac{1}{6}$  generated by a finite collection of elements of second order is nilpotent.*

In order to prove Theorem 3 from [1] (see also [2]), Kostrikin first reduced it to the following proposition.

PROPOSITION 1. *Let  $M$  be a finite set of elements of an algebra  $\mathcal{L}$  (satisfying the conditions of Theorem 3 from [1]), and let  $b$  be an element of second order. A sequence of elements  $(c_n)_{n \in \mathbb{N}}$  is constructed by induction:  $c_1 = [x_0 b]$ ,  $\dots$ ,  $c_{n+1} = [c_n x_n y_n b]$ ,  $x_i, y_i \in M$ ,  $i > 0$ . Then, beginning with some index  $m$ ,  $c_n = 0$  for  $n > m$ .*

In this connection, the Engel condition and restriction on the characteristic were essential mainly for the proof of Proposition 1. In §1 of our paper we reduce Theorem 1 (basically following Kostrikin) to the following proposition.

PROPOSITION 1'. *Let  $M$  be a finite set of elements of an algebra  $\mathcal{L}$  (satisfying the conditions of Theorem 1), let  $b_+$  and  $b_-$  be elements of second order, and let  $b = [b_+, b_-]$ . A sequence  $(c_n)_{n \in \mathbb{N}}$  is constructed by induction:  $c_1 = [x_0 b]$ ,  $\dots$ ,  $c_{n+1} = [c_n x_n y_n b]$ ,  $x_i, y_i \in M$ ,  $i > 0$ . Then, beginning with some index  $m$ ,  $c_n = 0$  for  $n > m$ .*

We next note that Proposition 1' in essence is equivalent to the local nilpotency of the Jordan pair  $\mathfrak{J} = ([\mathfrak{L}, b_+], [\mathfrak{L}, b_-])$ . Thus there emerges a connection between our question and the question on local nilpotency in Jordan systems, and first of all with a problem of A. I. Širšov (see [3] and [4]). In §2 a locally nilpotent radical is constructed in Jordan pairs, and some of its properties are studied. Finally, in §3, using the methods of [4] we prove the local nilpotency of the pair  $\mathfrak{J}$  and with that complete the proof of Theorem 1. In §4 it is proved by means of Theorem 1 that absolute zero divisors of a Jordan pair without additive 6-torsion lie in the locally nilpotent radical.

### §1. Sandwiches

Let  $\mathfrak{L}$  be a Lie algebra over an associative-commutative ring  $\Phi \ni 1$ , let  $A$  be some associative enveloping algebra for  $\mathfrak{L}$ , let the algebra  $\hat{A} = A + \Phi \cdot 1$  be obtained from  $A$  by the formal adjoining of an identity element, and let  $\hat{\mathfrak{L}} = \mathfrak{L} + \Phi \cdot 1$ . We shall denote the Lie multiplication of the elements  $x, y \in \mathfrak{L}$  by  $[x, y]$ , and  $x \cdot y$  will denote their product in the algebra  $A$ .

We denote by  $\hat{\mathfrak{L}}^{(k)}$  the  $\Phi$ -submodule of the algebra  $\hat{A}$  generated by products of the type  $e_1 \dots e_k, e_i \in \hat{\mathfrak{L}}$ .

We denote by  $\hat{\mathfrak{L}}_1^{(k)}, \dots, \hat{\mathfrak{L}}_r^{(k)}$  different copies of one and the same module  $\hat{\mathfrak{L}}^{(k)}$ .

Following Kostrikin [2], we shall call the equality  $c\hat{\mathfrak{L}}^{(m)}c = 0$  a *sandwich of thickness  $m$*  of the pair  $(\mathfrak{L}, A)$ , and the element  $c$  the *cover of a sandwich of thickness  $m$*  or a  $c_{(m)}$ -*element* of the pair  $(\mathfrak{L}, A)$ . For  $m < 1$  we shall speak about a *thin sandwich*, and for  $m > 1$  about a *thick sandwich*. The cover of a thin sandwich is sometimes also called an *absolute zero divisor* of the pair  $(\mathfrak{L}, A)$ .

We denote by  $C_m(\mathfrak{L}, A)$  the set of  $c_{(m)}$ -elements of the pair  $(\mathfrak{L}, A)$ .

If  $a$  is an element of  $\mathfrak{L}$ , we denote by  $a^*$  the operator of Lie multiplication by  $a$ . Furthermore, we denote by  $\mathfrak{L}^*$  the Lie algebra  $\{a^* \mid a \in \mathfrak{L}\}$ , and by  $R(\mathfrak{L})$  the subalgebra of the associative algebra  $\text{End}(\mathfrak{L}_\Phi, \mathfrak{L}_\Phi)$  generated by the operators  $a^*, a \in \mathfrak{L}$ . Thus  $R(\mathfrak{L})$  is an associative enveloping algebra for  $\mathfrak{L}^*$ .

We shall call an element  $a \in \mathfrak{L}$  the *cover of a sandwich of thickness  $m$*  (or  $c_{(m)}$ -*element*) if  $a^* \in C_m(\mathfrak{L}^*, R(\mathfrak{L}))$ .  $C_m(\mathfrak{L})$  is the set of  $c_{(m)}$ -elements of  $\mathfrak{L}$ . It is not difficult to see [1] that every element of second order of a Lie algebra without 2-torsion lies in  $C_1(\mathfrak{L})$ , i.e. is the cover of a thin sandwich.

The following three lemmas are taken from [4]. However, for completeness of the presentation we include their proofs.

LEMMA 1.1 (on deletion). *Let  $b$  and  $c$  be covers of thin sandwiches of the pair  $(\mathfrak{L}, A)$ , and let  $k_1, \dots, k_p$  be natural numbers. Then:*

- 1)  $bc\hat{\mathfrak{L}}_1^{(k_1)}[b, c]\hat{\mathfrak{L}}_2^{(k_2)} \dots [b, c]\hat{\mathfrak{L}}_p^{(k_p)}cb \subseteq b\hat{\mathfrak{L}}_1^{(k_1)}b \dots b\hat{\mathfrak{L}}_p^{(k_p)}b$ ;
- 2)  $bc\hat{\mathfrak{L}}_1^{(k_1)}[b, c]\hat{\mathfrak{L}}_2^{(k_2)} \dots [b, c]\hat{\mathfrak{L}}_p^{(k_p)}bc \subseteq b\hat{\mathfrak{L}}_1^{(k_1)}b \dots b\hat{\mathfrak{L}}_p^{(k_p)}bc$ ;
- 3)  $cb\hat{\mathfrak{L}}_1^{(k_1)}[b, c]\hat{\mathfrak{L}}_2^{(k_2)} \dots [b, c]\hat{\mathfrak{L}}_p^{(k_p)}bc \subseteq cb\hat{\mathfrak{L}}_1^{(k_1)}b \dots b\hat{\mathfrak{L}}_p^{(k_p)}bc$ ;
- 4)  $cb\hat{\mathfrak{L}}_1^{(k_1)}[b, c]\hat{\mathfrak{L}}_2^{(k_2)} \dots [b, c]\hat{\mathfrak{L}}_p^{(k_p)}cb \subseteq cb\hat{\mathfrak{L}}_1^{(k_1)}b \dots b\hat{\mathfrak{L}}_p^{(k_p)}b$ .

PROOF. For any  $x \in \mathfrak{L}$  the following inclusions are valid:

$$\hat{\mathfrak{L}}^{(k)}x \subseteq x\hat{\mathfrak{L}}^{(k)} + \hat{\mathfrak{L}}^{(k)}, \quad x\hat{\mathfrak{L}}^{(k)} \subseteq \hat{\mathfrak{L}}^{(k)}x + \hat{\mathfrak{L}}^{(k)}, \quad (\Phi 0)$$

$$\hat{\mathfrak{L}}^{(k)}x \subseteq \hat{\mathfrak{L}}^{(1)}x\hat{\mathfrak{L}}^{(k-1)} + x\hat{\mathfrak{L}}^{(k)} + \hat{\mathfrak{L}}^{(k-1)}, \quad x\hat{\mathfrak{L}}^{(k)} \subseteq \hat{\mathfrak{L}}^{(k-1)}x\hat{\mathfrak{L}}^{(1)} + \hat{\mathfrak{L}}^{(k)}x + \hat{\mathfrak{L}}^{(k-1)}, \quad (\Phi 1)$$

$$\hat{\mathfrak{L}}^{(k)}x \subseteq \hat{\mathfrak{L}}^{(2)}x\hat{\mathfrak{L}}^{(k-2)} + \hat{\mathfrak{L}}^{(1)}x\hat{\mathfrak{L}}^{(k-1)} + x\hat{\mathfrak{L}}^{(k)} + \hat{\mathfrak{L}}^{(k-2)}, \quad (\Phi 2)$$

$$x\hat{\mathfrak{L}}^{(k)} \subseteq \hat{\mathfrak{L}}^{(k-2)}x\hat{\mathfrak{L}}^{(2)} + \hat{\mathfrak{L}}^{(k-1)}x\hat{\mathfrak{L}}^{(1)} + \hat{\mathfrak{L}}^{(k)}x + \hat{\mathfrak{L}}^{(k-2)}.$$

Lemma 1.1 is now obtained by a simple induction on  $p$  and application of the formulas  $(\Phi 0)$ ,  $(\Phi 1)$  and  $(\Phi 2)$ .

LEMMA 1.2. Let the natural numbers  $p > 1$  and  $k > 3$ , the covers of thin sandwiches  $b$  and  $c$  of the pair  $(\mathcal{L}, A)$ , and the element  $\xi \in A$  be such that

$$b\hat{\rho}_p^{(2)}b \dots b\hat{\rho}_{p-1}^{(2)}b\hat{\rho}^{(k-1)}b\hat{\rho}^{(k)}\xi = c\hat{\rho}_p^{(2)}c \dots c\hat{\rho}_{p-1}^{(2)}c\hat{\rho}^{(k-1)}c\hat{\rho}^{(k)}\xi = 0.$$

Then

$$[b, c]\hat{\rho}_p^{(2)}[b, c] \dots \hat{\rho}_{p-1}^{(2)}[b, c]\hat{\rho}^{(k)}[b, c]\xi = 0.$$

PROOF. a) We shall show that  $[b, c]\hat{\rho}_p^{(2)}[b, c] \dots \hat{\rho}_{p-1}^{(2)}bb\hat{\rho}^{(k)}bc\xi = 0$ . By  $(\Phi 1)$  we have  $\hat{\rho}^{(k)}b \subseteq \hat{\rho}^{(1)}b\hat{\rho}^{(k-1)} + b\hat{\rho}^{(k)} + \hat{\rho}^{(k-1)}$ . Therefore

$$\begin{aligned} [b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}^{(k)}bc\xi \\ \subseteq [b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc(b\hat{\rho}^{(k)} + \hat{\rho}^{(1)}b\hat{\rho}^{(k-1)} + \hat{\rho}^{(k-1)})c\xi \\ \subseteq [b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}^{(1)}b\hat{\rho}^{(k-1)}c\xi + [b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}^{(k-1)}c\xi. \end{aligned}$$

We have

$$[b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}^{(1)}b\hat{\rho}^{(k-1)}c\xi \subseteq^{(1)} \hat{\rho}^{(1)}b\hat{\rho}_p^{(2)}b \dots \hat{\rho}_{p-1}^{(2)}b\hat{\rho}^{(2)}b\hat{\rho}^{(k)}\xi = 0.$$

Furthermore,

$$[b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}^{(k-1)}c\xi \subseteq^{(2)} \hat{\rho}^{(1)}c\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}c\hat{\rho}^{(k-1)}c\xi = 0.$$

b) We shall show that  $[b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}^{(k)}cb\xi = 0$ . By  $(\Phi 2)$  we have  $\hat{\rho}^{(k)}c \subseteq \hat{\rho}^{(k)} + \hat{\rho}^{(1)}c\hat{\rho}^{(k-1)} + \hat{\rho}^{(2)}c\hat{\rho}^{(k-2)} + \hat{\rho}^{(k-2)}$ . Therefore

$$\begin{aligned} [b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}^{(k)}cb\xi \\ \subseteq [b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}_c^{(2)}\hat{\rho}^{(k-2)}b\xi + [b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}^{(k-2)}b\xi. \end{aligned}$$

We have

$$[b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}_c^{(2)}c\hat{\rho}^{(k-2)}b\xi \subseteq^{(3)} \hat{\rho}^{(1)}c\hat{\rho}_p^{(2)}c \dots c\hat{\rho}_{p-1}^{(2)}c\hat{\rho}^{(2)}c\hat{\rho}^{(k)}\xi = 0.$$

Furthermore,

$$[b, c]\hat{\rho}_p^{(2)} \dots \hat{\rho}_{p-1}^{(2)}bc\hat{\rho}^{(k-2)}b\xi \subseteq^{(4)} \hat{\rho}^{(1)}b\hat{\rho}_p^{(2)}b \dots b\hat{\rho}_{p-1}^{(2)}b\hat{\rho}^{(k-1)}b\xi = 0.$$

This proves the lemma.

If  $\mathfrak{B}$  is a subset of the algebra  $\mathcal{L}$ , then we define the solvable powers of  $\mathfrak{B}$  inductively by  $\mathfrak{B}^{(0)} = \mathfrak{B}$  and  $\mathfrak{B}^{(k+1)} = \{[b, c] \mid b, c \in \mathfrak{B}^{(k)}\}$ .

LEMMA 1.3. Let  $p > 1$  and  $M = \{b \in \mathcal{L} \mid b\hat{\rho}_p^{(2)}b = b\hat{\rho}_1^{(2)}b \dots b\hat{\rho}_p^{(2)}b = 0\}$ . There exists a function  $f(r)$ , with argument a natural number  $r > 3$ , such that for any  $c \in M^{(f(r))}$

$$c\hat{\rho}_p^{(r)}c \dots c\hat{\rho}_{p+1}^{(r)}c = 0.$$

PROOF. We construct in descending succession  $p + 1$  functions  $f_{p+1}, \dots, f_1$  such that for any of the numbers  $1 < q < p + 1$ ,  $r > 3$ , and for any element  $c \in M^{(f_q(r))}$  the equality

$$c\hat{\rho}_q^{(2)}c \dots \hat{\rho}_q^{(2)}c\hat{\rho}_{q+1}^{(r)}c \dots c\hat{\rho}_{p+1}^{(r)}c = 0$$

(<sup>1</sup>) Lemma 1.1, with  $c$  deleted.  
 (<sup>2</sup>) Lemma 1.1, with  $b$  deleted.  
 (<sup>3</sup>) Lemma 1.1, with  $b$  deleted.  
 (<sup>4</sup>) Lemma 1.1, with  $c$  deleted.

is satisfied. We set  $f_{p+1}(r) \equiv 1$ . Suppose we have constructed the functions  $f_{p+1}, \dots, f_q$  for  $r > 3$ . We define a function  $f_{q-1}$  by setting

$$f_{q-1}(r) = f_q(4 + \dots + r + 1 + r) + r - 2 = f_q\left(\frac{r^2 + 5r - 10}{2}\right) + r - 2.$$

For brevity set  $r_1 = (r^2 + 5r - 10)/2$ . Then for any  $a \in M^{(f_q(r))}$

$$a \hat{c}_1^{(2)} a \dots a \hat{c}_{q-1}^{(2)} a \hat{c}_q^{(r_1)} \dots \hat{c}_{p+1}^{(r_1)} a = 0.$$

By Lemma 1.2 (we set  $k = 3$ ), for any  $c \in M^{(f_q(r)+1)}$  we have

$$c \hat{c}_1^{(2)} c \dots c \hat{c}_{q-1}^{(3)} c \hat{c}_q^{(r_1-4)} c \dots c \hat{c}_{p+1}^{(r_1)} c = 0.$$

Applying Lemma 1.2  $r - 2$  times, we obtain that for any  $c \in M^{(f_q(r)+r-2)}$

$$c \hat{c}_1^{(2)} c \dots c \hat{c}_{q-1}^{(r)} c \hat{c}_q^{(r)} c \hat{c}_{q+1}^{(r)} c \dots c \hat{c}_{p+1}^{(r)} c = 0.$$

Consequently, the function  $f_{q-1}$  is the one we need. It now only remains to take  $f_1$  as the desired function. This proves the lemma.

LEMMA 1.4. *Let the elements  $x_1, \dots, x_n$  lie in  $C_1(\mathcal{L}, A)$ . Then for any indices  $1 < i_1, \dots, i_{n+1} < n$  it is possible to rewrite the element  $x_{i_1} \dots x_{i_{n+1}}$  in the form  $x_{i_1} \dots x_{i_{n+1}} = \sum_{\alpha} w_{\alpha} y_{\alpha}$ , where  $w_{\alpha}$  is some word from  $\{x_i\}$ , and  $y_{\alpha}$  is a commutator from  $\{x_i\}$  of weight greater than 1.*

The proof is obvious.

LEMMA 1.5. *Let a Lie algebra  $\mathcal{L}$  be generated by a collection of elements  $x_1, \dots, x_n$  (we write  $\mathcal{L} = \text{Lie}\langle x_1, \dots, x_n \rangle$ ) and  $x_i^{*2} = 0, 1 < i < n$ . Then the algebra  $[\mathcal{L}, \mathcal{L}]$  is finitely generated.*

PROOF. We shall show that  $[\mathcal{L}, \mathcal{L}]$  is generated by commutators from the set

$$M = \{x_{i_0} x_{i_1}^* \dots x_{i_k}^* \mid 1 \leq i_j \leq n, 1 \leq k \leq n + 2\}.$$

In fact, consider the commutator  $x_{i_0} x_{i_1}^* \dots x_{i_p}^*$ , where  $p > n + 3$ . It is clear that  $x_i^* \in C_1(\mathcal{L}^*, R(\mathcal{L}))$ . Therefore, using Lemma 1.4, we can rewrite  $x_{i_2}^* \dots x_{i_p}^*$  in the form  $x_{i_2}^* \dots x_{i_p}^* = \sum_{\alpha} w_{\alpha} y_{\alpha}^*$ , where  $w_{\alpha} \in R(\mathcal{L})$  and  $y_{\alpha} \in [\mathcal{L}, \mathcal{L}]$ . By induction the elements  $x_{i_0} x_{i_1}^* w_{\alpha}$  and  $y_{\alpha}$  lie in the subalgebra  $\text{Lie}\langle M \rangle$ . This means the element  $x_{i_0} x_{i_1}^* \dots x_{i_p}^*$  also lies in  $\text{Lie}\langle M \rangle$ , which proves the lemma.

LEMMA 1.6. *Let  $\mathcal{L} = \text{Lie}\langle x_1, \dots, x_n \rangle$  be a solvable Lie algebra,  $A$  an associative enveloping algebra for  $\mathcal{L}$ , and  $x_i \in C_1(\mathcal{L}, A), 1 < i < n$ . Then  $A$  is nilpotent.*

PROOF. By Lemma 1.5 the algebra  $[\mathcal{L}, \mathcal{L}]$  is finitely generated. Consequently, carrying out an induction on the degree of solvability, we can assume the set  $[\mathcal{L}, \mathcal{L}]$  is associatively nilpotent, say of degree  $m$ . Then  $A$  is nilpotent of degree not greater than  $(n + 1)m$ . In fact, any word  $w(x_i)$  from  $\{x_i\}$  of degree  $(n + 1)m$  can be represented in the form  $w = w_1 \dots w_m$ , where  $w_{\alpha} = x_{i_{1,\alpha}} \dots x_{i_{n+1,\alpha}}, 1 < j_{i,\alpha} < n + 1$ . By Lemma 1.4,  $w_{\alpha} = v_{\alpha} y_{\alpha}$ , where  $y_{\alpha} \in [\mathcal{L}, \mathcal{L}]$ . Hence  $w \in A[\mathcal{L}, \mathcal{L}] \dots [\mathcal{L}, \mathcal{L}] = 0$ . This proves the lemma.

COROLLARY. *Let  $\mathcal{L} = \text{Lie}\langle x_1, \dots, x_n \rangle$  be a solvable Lie algebra and  $x_i^{*2} = 0, 1 < i < n$ . Then  $\mathcal{L}$  is nilpotent.*

PROOF. It is easy to see that  $x_i^* \in C_1(\mathcal{L}^*, R(\mathcal{L})), 1 < i < n$ . This means the algebra  $R(\mathcal{L})$  is nilpotent by Lemma 1.6, and from this follows the nilpotency of  $\mathcal{L}$ .

LEMMA 1.7. Let  $\mathcal{L} = \text{Lie}\langle x_1, \dots, x_n \rangle$ ,  $A$  an associative enveloping algebra of  $\mathcal{L}$ , and  $x_i \in C_2(\mathcal{L}, A)$ ,  $1 \leq i \leq n$ . Then  $A$  is nilpotent.

PROOF. 1) We shall show that  $A$  is generated as a  $\Phi$ -module by the elements of the form  $l_1 \dots l_k$ , where  $l_i \in \mathcal{L}$  and  $k \leq n$ . We denote the  $\Phi$ -module generated by elements of the form  $l_1 \dots l_k$ ,  $l_i \in \mathcal{L}$ ,  $1 \leq i \leq k \leq n$ , by  $\mathcal{L}^{(n)}$ . Unlike  $\mathcal{L}^{(n)}$ , the existence of an identity element is not assumed in  $\mathcal{L}^{(n)}$ . For each index  $1 \leq i \leq n$  we consider the  $\Phi$ -module  $\mathfrak{M}_i = \Phi x_i + [\mathcal{L}, x_i]$ . It is easy to see that  $\mathfrak{M}_i \mathfrak{M}_j = 0$  and  $\mathcal{L} = \sum_1^n \mathfrak{M}_i$ . We shall show that every product  $a_1 \dots a_{n+1}$  of elements  $a_i \in \mathcal{L}$ ,  $1 \leq i \leq n+1$ , lies in  $\mathcal{L}^{(n)}$ . In this connection, without loss of generality we can assume that each factor  $a_\alpha$  lies in one of the modules  $\mathfrak{M}_\beta$ ,  $1 \leq \beta \leq n$ . This means there can be found distinct indices  $i$  and  $j$ ,  $1 \leq i < j \leq n+1$ , such that the elements  $a_i$  and  $a_j$  lie in some module  $\mathfrak{M}_k$ . We note that the factors  $a_\alpha$ ,  $1 \leq \alpha \leq n+1$ , in the product  $a_1 \dots a_{n+1}$  are permutable modulo  $\mathcal{L}^{(n)}$ . Rearranging the factors so that  $a_i$  and  $a_j$  are adjacent, we obtain  $a_1 \dots a_{n+1} \equiv 0 \pmod{\mathcal{L}^{(n)}}$ . This means  $A = \mathcal{L}^{(n)}$ .

2) If  $A$  is not nilpotent, it can be assumed to be semiprime. Then, by 1),  $C_n(\mathcal{L}, A) = 0$ . By Lemma 1.3 for some  $r > 1$  we have  $(C_2(\mathcal{L}, A))^{[r]} \subseteq C_n(\mathcal{L}, A) = 0$ . This means the set  $C_2(\mathcal{L}, A)$  is solvable. Furthermore, by Lemma 1.1,  $[C_2(\mathcal{L}, A), C_1(\mathcal{L}, A)] \subseteq C_2(\mathcal{L}, A)$ . Therefore  $\mathcal{L} = \Phi C_2(\mathcal{L}, A)$ , i.e.  $\mathcal{L}$  is generated as a  $\Phi$ -module by the set  $C_2(\mathcal{L}, A)$ . Consequently,  $\mathcal{L}$  is solvable. The nilpotency of  $A$  now follows from Lemma 1.6. This contradicts our assumption, which proves the lemma.

COROLLARY. Let  $\mathcal{L} = \text{Lie}\langle x_1, \dots, x_n \rangle$  and  $x_i \in C_2(\mathcal{L})$ ,  $1 \leq i \leq n$ . Then  $\mathcal{L}$  is nilpotent.

LEMMA 1.8. Suppose that  $\mathcal{L} = \Phi C_1(\mathcal{L})$ . Then  $\Phi C_2(\mathcal{L})$  is a locally nilpotent ideal in  $\mathcal{L}$ .

PROOF. As we noted above,  $[C_2(\mathcal{L}), C_1(\mathcal{L})] \subseteq C_2(\mathcal{L})$ . Therefore  $\Phi C_2(\mathcal{L})$  is an ideal of  $\mathcal{L}$ . The local nilpotency of  $\Phi C_2(\mathcal{L})$  follows from Lemma 1.7. This proves the lemma.

LEMMA 1.9. Let  $\mathcal{L} = \text{Lie}\langle x_1, \dots, x_n \rangle$ ,  $x_i \in C_1(\mathcal{L})$ ,  $1 \leq i \leq n$ , and let  $S$  be the set of commutators from  $\{x_i \mid 1 \leq i \leq n\}$ . Let  $S_1$  be a maximal subset of  $S$  generating a locally nilpotent ideal  $I$  in  $\mathcal{L}$ , and  $\varphi: \mathcal{L} \rightarrow \mathcal{L}/I$  the natural homomorphism. Then no nonzero subset of  $S^\varphi$  generates a locally nilpotent ideal in  $\mathcal{L}^\varphi$ .

PROOF. Suppose on the contrary that some nonzero subset of the set  $S^\varphi$  generates a locally nilpotent ideal in  $\mathcal{L}^\varphi$ . We denote by  $S_2$  the inverse image of this subset under the mapping  $S \xrightarrow{\varphi} S + I/I$ , so  $S_2 \supseteq S_1$ . We shall show that the ideal  $J$  generated in  $\mathcal{L}$  by  $S_2$  is locally nilpotent, which will contradict the maximality of the subset  $S_1$ . It is easy to see that  $J = \Phi(J \cap S)$ . We choose arbitrary elements  $a_1, \dots, a_k \in J \cap S$ , and set  $\mathcal{L}_1 = \text{Lie}\langle a_1, \dots, a_k \rangle$ . By assumption some solvable degree  $\mathcal{L}_1^{[r]}$  of  $\mathcal{L}_1$  falls into the ideal  $I$ . By Lemma 1.5 the algebra  $\mathcal{L}_1^{[r]}$  is finitely generated. Since the ideal  $I$  is locally nilpotent, this means  $\mathcal{L}_1$  is solvable. By the corollary to Lemma 1.6,  $\mathcal{L}_1$  now is nilpotent. Since the choice of  $a_1, \dots, a_k$  was arbitrary,  $J$  is locally nilpotent. This proves the lemma.

We shall henceforth denote the left-normalized commutator  $x_1 x_2^* \dots x_n^*$  by  $[x_1 \dots x_n]$ .

LEMMA 1.10 (see [1]). Let  $a_0, a_1, a_2, a_3, a_4 \in \mathcal{L}$ , let  $A$  be an associative enveloping algebra for  $\mathcal{L}$ , and let  $a_1, a_2, a_3, a_4 \in C_1(\mathcal{L}, A)$ . Suppose that for any permutation  $(i_1 i_2 i_3 i_4) = (1 2 3 4)$  the equality  $c = [a_0 a_{i_1} a_{i_2} a_{i_3} a_{i_4}] = [a_0 a_1 a_2 a_3 a_4]$  holds. Then  $c \in C_2(\mathcal{L}, A)$ .

PROOF. We assume below that  $1 < i, i_1, i_2, i_3, i_4 < 4$ .

1)  $ca_i \in [\mathcal{L}, a_i]a_i = 0$ . Analogously,  $a_i c = 0$ . Furthermore, for each element  $x \in \mathcal{L}$  we have  $[cxa_i] \in [\mathcal{L}a_i, xa_i] = 0$ . Hence  $cxa_i + a_ixc = 0$ .

2) We consider  $c\hat{\mathcal{L}}^{(2)}a_{i_1}a_{i_2}a_{i_3}$ . By  $(\Phi 1)$  we have  $\hat{\mathcal{L}}^{(2)}a_{i_1} \subseteq a_{i_1}\hat{\mathcal{L}}^{(2)} + \hat{\mathcal{L}}^{(1)}a_{i_1}\hat{\mathcal{L}}^{(1)} + \hat{\mathcal{L}}^{(1)}$ . Hence

$$c\hat{\mathcal{L}}^{(2)}a_{i_1}a_{i_2}a_{i_3} \subseteq c\hat{\mathcal{L}}^{(1)}a_{i_1}\hat{\mathcal{L}}^{(1)}a_{i_2}a_{i_3} + c\hat{\mathcal{L}}^{(1)}a_{i_2}a_{i_3} = a_{i_1}\hat{\mathcal{L}}^{(1)}a_{i_1}\hat{\mathcal{L}}^{(1)}ca_{i_2} + a_{i_2}\hat{\mathcal{L}}^{(1)}ca_{i_3} = 0.$$

3) We consider  $c\hat{\mathcal{L}}^{(2)}a_{i_1}a_{i_2}a_0a_{i_3}a_{i_4}$ . We have

$$\begin{aligned} c\hat{\mathcal{L}}^{(2)}a_{i_1}a_{i_2}a_0a_{i_3}a_{i_4} &\subseteq c(a_{i_1}\hat{\mathcal{L}}^{(2)} + \hat{\mathcal{L}}^{(1)}a_{i_1}\hat{\mathcal{L}}^{(1)} + \hat{\mathcal{L}}^{(1)})a_{i_2}a_0a_{i_3}a_{i_4} \\ &= c\hat{\mathcal{L}}^{(1)}a_{i_1}\hat{\mathcal{L}}^{(1)}a_{i_2}a_0a_{i_3}a_{i_4} + c\hat{\mathcal{L}}^{(1)}a_{i_2}a_0a_{i_3}a_{i_4} \\ &= a_{i_1}\hat{\mathcal{L}}^{(1)}a_{i_1}\hat{\mathcal{L}}^{(1)}a_{i_2}a_0ca_{i_4} + a_{i_2}\hat{\mathcal{L}}^{(1)}a_{i_2}a_0ca_{i_4} = 0. \end{aligned}$$

4) We consider  $c\hat{\mathcal{L}}^{(2)}a_{i_1}a_0a_{i_2}a_{i_3}a_{i_4}$ . We have

$$\begin{aligned} c\hat{\mathcal{L}}^{(2)}a_{i_1}a_0a_{i_2}a_{i_3}a_{i_4} &\subseteq c(a_{i_1}\hat{\mathcal{L}}^{(2)} + \hat{\mathcal{L}}^{(1)}a_{i_1}\hat{\mathcal{L}}^{(1)} + \hat{\mathcal{L}}^{(1)})a_0a_{i_2}a_{i_3}a_{i_4} \\ &= c\hat{\mathcal{L}}^{(1)}a_{i_1}\hat{\mathcal{L}}^{(1)}a_0a_{i_2}a_{i_3}a_{i_4} + c\hat{\mathcal{L}}^{(1)}a_0a_{i_2}a_{i_3}a_{i_4} \\ &\subseteq a_{i_1}\hat{\mathcal{L}}^{(1)}c\hat{\mathcal{L}}^{(1)}a_0a_{i_2}a_{i_3}a_{i_4} + c\hat{\mathcal{L}}^{(1)}a_0a_{i_2}a_{i_3}a_{i_4} = 0 \end{aligned}$$

by 1).

5) We consider  $c\hat{\mathcal{L}}^{(2)}a_0a_{i_1}a_{i_2}a_{i_3}a_{i_4} \subseteq c\hat{\mathcal{L}}^{(2)}a_{i_1}a_{i_2}a_{i_3}a_{i_4}$ . We have

$$\begin{aligned} c\hat{\mathcal{L}}^{(2)}a_{i_1}a_{i_2}a_{i_3}a_{i_4} &\subseteq c(a_{i_1}\hat{\mathcal{L}}^{(2)} + \hat{\mathcal{L}}^{(1)}a_{i_1}\hat{\mathcal{L}}^{(2)} + \hat{\mathcal{L}}^{(2)})a_{i_2}a_{i_3}a_{i_4} \\ &= c\hat{\mathcal{L}}^{(1)}a_{i_1}\hat{\mathcal{L}}^{(2)}a_{i_2}a_{i_3}a_{i_4} + c\hat{\mathcal{L}}^{(2)}a_{i_2}a_{i_3}a_{i_4} \\ &= a_{i_1}\hat{\mathcal{L}}^{(1)}c\hat{\mathcal{L}}^{(2)}a_{i_2}a_{i_3}a_{i_4} + c\hat{\mathcal{L}}^{(2)}a_{i_2}a_{i_3}a_{i_4} = 0 \end{aligned}$$

by 1). This proves the lemma.

We now assume that Proposition 1' is true, and following Kostrikin [1] we shall prove Theorem 1. Let  $\mathcal{L} = \text{Lie}\langle x_1, \dots, x_n \rangle$ , and  $x_i^{*2} = 0$ ,  $1 < i < n$ . We shall show that any finite set  $\{y_0, \dots, y_k\}$  of commutators from  $\{x_i\}$  of weight not less than 2 generates a nilpotent subalgebra  $\mathcal{L}_1 = \text{Lie}\langle y_0, \dots, y_k \rangle$  of  $\mathcal{L}$ . Hence it will follow that the algebra  $[\mathcal{L}, \mathcal{L}]$  is locally nilpotent. This means, by Lemmas 1.5 and 1.6, that  $\mathcal{L}$  is nilpotent.

If  $\mathcal{L}_1$  is not nilpotent, then by Lemma 1.9 without loss of generality we can assume that no nonzero set of commutators from  $\{y_0, \dots, y_k\}$  generates a locally nilpotent ideal. In addition, carrying out an induction on  $k$ , we can assume that  $\text{Lie}\langle y_1, \dots, y_k \rangle$  is nilpotent. Consequently, there exists a commutator  $c_0$  from  $\{y_1, \dots, y_k\}$  commuting with all the elements  $y_i$ ,  $1 < i < k$ . If  $[c_0, y_0] = 0$ , then  $c_0$  would lie in the center of  $\mathcal{L}_1$ . This means  $[c_0, y_0] \neq 0$ . By Lemma 1.6 the operators  $y_i^*$ ,  $1 < i < k$ , generate a nilpotent subalgebra in  $R(\mathcal{L}_1)$ . Let  $s$  be the maximal number with the property that for some numbers  $i_1, \dots, i_s \in \{1, \dots, k\}$  the commutator  $[c_0 y_0 y_{i_1} \dots y_{i_s}]$  is different from zero. In addition, let  $\rho$  be the least number for which there exist commutators  $f_1, \dots, f_\rho$  from  $\{y_1, \dots, y_k\}$  of total degree  $s$  such that  $c_1 = [c_0 y_0 f_1 \dots f_\rho] \neq 0$ . Then by the maximality of  $s$  the element  $c_1$  commutes with  $y_i$ ,  $1 < i < k$ , and by the minimality of  $\rho$  for any permutation  $\{i_1 \dots i_\rho\} = \{1 \dots \rho\}$  we have  $[c_0 y_0 f_{i_1} \dots f_{i_\rho}] = [c_0 y_0 f_1 \dots f_\rho] = c_1$ . If  $\rho < 1$ , then  $c_1$  would lie in the center of  $\mathcal{L}_1$ . If  $\rho > 3$ , then  $c_1 = -[y_0 c_0 f_1 \dots f_\rho]$  would be a  $c_{(2)}$ -element by Lemma 1.10. But we have assumed that no nonzero commutator from  $\{y_0, \dots, y_k\}$  generates a locally nilpotent ideal, and so such a commutator is not a  $c_{(2)}$ -element. This means  $\rho = 2$ . Acting this same way with the element  $c_1$ , we find

commutators  $f_3$  and  $f_4$  from  $\{y_1, \dots, y_k\}$  such that  $[c_1 y_0 f_3 f_4] \neq 0$ , and so on. This gives an infinite sequence of nonzero elements, which contradicts Proposition 1'. Thus we have deduced Theorem 1 from Proposition 1'.

For the proof of Proposition 1' we need the concept of a Jordan pair (see [6]).

Let  $P^+$  and  $P^-$  be  $\Phi$ -modules with quadratic mappings  $U^\sigma: P^\sigma \rightarrow \text{Hom}(P^{-\sigma}, P^\sigma)$  (here and below,  $\sigma \in \{+, -\}$ ).

We define trilinear mappings  $P^\sigma \times P^{-\sigma} \times P^\sigma \rightarrow P^\sigma$ ,  $(x, y, z) \rightarrow \{xyz\}$ , and bilinear mappings  $V^\sigma: P^{-\sigma} \times P^\sigma \rightarrow \text{End}(P^\sigma)$  by the formulas  $\{xyz\} = zV_{y,x}^\sigma = yU_{x,z}^\sigma$ , where  $U_{x,z}^\sigma = U_{x+z}^\sigma - U_x^\sigma - U_z^\sigma$ . It is obvious that  $\{xyz\} = \{zyx\}$  and  $\{xyx\} = 2yU_x^\sigma$ .

DEFINITION. A pair  $P = (P^+, P^-)$  of  $\Phi$ -modules with a pair of quadratic mappings  $U^\sigma: P^\sigma \rightarrow \text{Hom}_\Phi(P^{-\sigma}, P^\sigma)$  such that in the above notation the following identities and all their partial linearizations are satisfied is called a *Jordan pair*:

$$V_{x,y}^\sigma U_x^{-\sigma} = U_x^{-\sigma} V_{y,x}^{-\sigma}, \tag{1}$$

$$V_{yU_{x,y}^\sigma}^{-\sigma} = V_{x,xU_y^{-\sigma}}^{-\sigma}, \tag{2}$$

$$U_{yU_x^\sigma}^\sigma = U_x^\sigma U_y^\sigma U_x^\sigma. \tag{3}$$

Subsequently, where it does not cause ambiguity, we shall often omit the symbols  $\pm \sigma$  in the notation for the operators and write  $U_x, U_{x,y}$  and  $V_{x,y}$  instead of  $U_x^\sigma, U_{x,y}^\sigma$  and  $V_{x,y}^\sigma$ .

A pair  $h = (h^+, h^-)$  of  $\Phi$ -linear mappings  $h^\sigma: V^\sigma \rightarrow P^\sigma$  such that  $h^\sigma(yU_x^\sigma) = h^{-\sigma}(y)U_{h^+(x)}$  is called a *homomorphism* of the Jordan pairs  $(V^+, V^-)$  and  $(P^+, P^-)$ . Linearization gives us  $h^\sigma(\{xyz\}) = \{h^\sigma(x)h^{-\sigma}(y)h^\sigma(z)\}$ .

A pair  $P = (P^+, P^-)$  of submodules of a Jordan pair  $V = (V^+, V^-)$  is called a *subpair* (respectively *ideal*) if  $P^{-\sigma}U_{P^\sigma}^\sigma \subseteq P^\sigma$  (respectively  $V^\sigma U_{P^\sigma}^\sigma + P^{-\sigma}U_{P^\sigma}^\sigma + \{V^\sigma V^{-\sigma} P^\sigma\} \subseteq P^\sigma$ ). Other concepts are defined in a natural manner, and they can be found in [6].

We note that in an arbitrary Jordan pair the following identities are satisfied (see [6]):

$$[V_{x,y}, V_{u,v}] = V_{x,y,V_{u,v}} - V_{xV_{u,v},y}, \tag{4}$$

$$U_x V_{y,z} = U_{x,xV_{y,z}} - V_{z,y} U_x, \tag{5}$$

$$U_{x,z} U_y = V_{x,y} V_{z,y} - V_{x,z} U_y, \tag{6}$$

$$U_y U_{x,z} = V_{y,x} V_{y,z} - V_{xU_y,z}, \tag{7}$$

$$U_x U_y U_z + U_z U_y U_x = U_{\{xy,z\}} - V_{x,y} U_z U_{y,x} - U_{zU_y,x}. \tag{8}$$

Let  $L = L_{-1} + L_0 + L_1$  be a  $\mathbb{Z}_3$ -graded Lie algebra over a ring of scalars  $\Phi \ni \frac{1}{6}$  such that  $[L_{-1}, L_{-1}] = [L_1, L_1] = 0$ . Then the pair of  $\Phi$ -modules  $(L_{-1}, L_1)$  with trilinear product  $\{x_\sigma y_{-\sigma} z_\sigma\} = [[x_\sigma y_{-\sigma}] z_\sigma]$ ,  $x_\sigma, z_\sigma \in L_{\sigma-1}, y_{-\sigma} \in L_{-\sigma-1}$  is a Jordan pair (see [6]).

A  $\Phi$ -submodule  $K$  of a Lie algebra  $L$  is called an *inner ideal* if  $[LKK] \subseteq K$ . Let  $K^+$  and  $K^-$  be abelian inner ideals. Then  $K^+ + K^- + [K^+, K^-]$  is a  $\mathbb{Z}_3$ -graded Lie algebra, and  $(K^+, K^-)$  is a Jordan pair.

We now return to our previous situation. Let  $\mathcal{L}$  be a Lie algebra satisfying the conditions of Theorem 1, and  $b_+, b_- \in C_1(\mathcal{L})$ . Then  $J^+ = [\mathcal{L}, b_+]$  and  $J^- = [\mathcal{L}, b_-]$  are abelian inner ideals. We denote by  $\mathcal{J}$  the Jordan pair they constitute. Suppose that a sequence of elements  $(c_n)_{n=1,2,\dots}$  is constructed according to the rule

$$c_1 = [x_0 b_\sigma, b_{-\sigma}], \dots, c_{n+1} = [c_n x_n y_n b_\sigma, b_{-\sigma}], \quad x_i, y_i \in \mathcal{L}, \sigma_i \in \{+, -\}.$$

LEMMA 1.11. Any element  $c_n$  can be rewritten in the form  $c_n = [W_n, b_{-n}]$ , where  $W_n = \sum W_n^{(i)}$  is a sum of words of a pair  $\mathfrak{J}$  from  $\{(x_i, b_+), (y_i, b_-), 0 < i < n-1\}$ ,  $W_n \in \mathfrak{J}^+$ . In addition the composition of each term  $[W_n^{(i)}, b_{-n}]$  coincides with the composition of  $c_n$ .

PROOF. We carry out an induction on the number  $n$ . We have  $c_1 = [(x_0, b_+), b_{-0}]$ . Assume that the lemma is true for  $c_k$ ,  $1 < k < n$ . Let  $c_n = [x_0 b_{\sigma_1} \dots b_{\sigma_k} b_-] = [W_n, b_-]$ . Then

1)

$$\begin{aligned} [c_n x_n y_n b_+ b_-] &= [x_0 \dots b_+ b_- x_n y_n b_+ b_-] \\ &= [x_0 \dots b_+ b_- x_n [y_n, b_+] b_-] + [x_0 \dots b_+ b_- y_n [x_n, b_+] b_-] \\ &= [W_n b_- x_n [y_n, b_+] b_-] + [W_n b_- y_n [x_n, b_+] b_-] \\ &= [W_n [b_- x_n] [y_n, b_+] b_-] + [W_n [b_- y_n] [x_n, b_+] b_-] = [W_{n+1}, b_-], \end{aligned}$$

where  $W_{n+1} = W_n (V_{[b_-, x_n][y_n, b_+]} + V_{[b_-, y_n][x_n, b_+]}) \in \mathfrak{J}^+$ ; and

2)

$$[c_n x_n y_n b_- b_+] = [W_n b_- x_n y_n b_- b_+] = [W_n [b_-, x_n] [y_n, b_-] b_+] = [W_{n+1}, b_+],$$

where  $W_{n+1} = -W_n U_{[b_-, x_n][y_n, b_-]} \in \mathfrak{J}^-$ .

This proves the lemma.

Lemma 1.11 shows that for the proof of Proposition 1' it is sufficient to verify the local nilpotency of the pair  $\mathfrak{J} = ([\mathfrak{L}, b_+], [\mathfrak{L}, b_-])$ . Therefore we turn to the study of local nilpotency in Jordan pairs, and first of all to the construction of a locally nilpotent radical.

## §2. The locally nilpotent radical in Jordan pairs

Our construction in many respects is analogous to the construction of the locally nilpotent radical in Jordan algebras (see [5]). The calculations are greatly simplified if it is assumed that  $\Phi \ni \frac{1}{2}$ . However we prefer not to impose a restriction on the ring  $\Phi$  in this section.

We recall that the solvable powers of a Jordan pair  $P = (P^+, P^-)$  are defined by induction:  $P^{(0)} = P$ ,  $P^{(1)} = (P^- U_{P^+}, P^+ U_{P^-})$ , and  $P^{(n+1)} = (P^{(n)+}, P^{(n)-})$ . A pair  $P$  is called *solvable* if  $P^{(n)} = 0$  for some natural number  $n$ . The least number with this property is called the *degree of solvability* of the pair  $P$ . As in [5], the keys to the construction of the locally nilpotent radical are the following theorems.

THEOREM 2. Let  $P$  be a finitely generated Jordan pair. Then the pair  $P^{(1)}$  is also finitely generated.

THEOREM 3. A finitely generated solvable Jordan pair is nilpotent.

We proceed to the proof of these theorems, but first give some more definitions. Let  $P = (P^+, P^-)$  be a Jordan pair, and let  $P^+ \oplus P^-$  be the direct sum of the  $\Phi$ -modules. The operators  $V_{x,y}^\sigma$  and  $U_z^\sigma$  can be extended to homomorphisms of the module  $P^+ \oplus P^-$ , setting  $P^{-\sigma} V_{x,y}^\sigma = 0$  and  $P^\sigma U_z^\sigma = 0$ . The subalgebra of  $\text{End}_\Phi(P^+ \oplus P^-)$  generated by the operators  $V_{x,y}^\sigma$  and  $U_z^\sigma$ ,  $x \in P^{-\sigma}$ ,  $y \in P^\sigma$ ,  $z \in P^\sigma$ , is called the *multiplication algebra* of the pair  $P$  and is denoted by  $M(P)$ . We denote the subalgebra of  $\text{End}_\Phi(P^\sigma, P^\sigma)$  generated by the set  $\{V_{x,y}^\sigma\}$  by  $\text{Ass}\langle V^\sigma \rangle$ , and the subalgebra generated by  $\text{Ass}\langle V^\sigma \rangle$  and the identity operator  $\text{id}: P^\sigma \rightarrow P^\sigma$  by  $\widehat{\text{Ass}}\langle V^\sigma \rangle$ .



Let the pair  $P = (P^+, P^-)$  be generated by a finite collection of elements  $\{x_1, \dots, x_n \in P^+, y_1, \dots, y_n \in P^-\}$ .

LEMMA 2.1. For any elements  $a_1, \dots, a_{4n} \in P^\sigma$  and  $b_1, \dots, b_{4n} \in P^{-\sigma}$  the product  $\prod_{i=1}^{4n} V_{a_i, b_i}$  can be rewritten in the form  $\prod_{i=1}^{4n} V_{a_i, b_i} = \sum_j W_j V_{c_j, d_j}$ , where  $W_j \in \widehat{\text{Ass}}\langle V^{-\sigma} \rangle$  and either  $d_j \in P^\sigma U_{P^{-\sigma}}$  or  $c_j \in P^{-\sigma} U_{P^\sigma}$ .

PROOF. Modulo the  $\Phi$ -submodule  $\mathfrak{P}$  generated by operators of the form  $\sum W_j V_{c_j, d_j}$ , where  $W_j \in \widehat{\text{Ass}}\langle V^{-\sigma} \rangle$  and either  $d_j \in P^\sigma U_{P^{-\sigma}}$  or  $c_j \in P^{-\sigma} U_{P^\sigma}$ , all factors  $V_{a_i, b_i}$  and  $V_{c_j, d_j}$  are permutable (see (4)). Therefore if one of the elements  $a_i$ ,  $1 < i < 4n$ , lies in  $P^{-\sigma} U_{P^\sigma}$ , then moving the operator  $V_{a_i, b_i}$  to the right we obtain the assertion of the lemma. Let us assume that all elements  $a_i$ ,  $1 < i < 4n$ , belong to the set  $\{x_1, \dots, x_n\}$ . Then at least 4 elements with different subscripts are equal,  $a = a_{i_1} = a_{i_2} = a_{i_3} = a_{i_4}$ . Moving the operators with subscripts  $i_k$ ,  $1 < k < 4$ , to the right end, we obtain on the right  $V_{a, b_{i_1}} V_{a, b_{i_2}} V_{a, b_{i_3}} V_{a, b_{i_4}}$ . By identity (7) from §1 we have  $V_{a, b_{i_1}} V_{a, b_{i_2}} = U_a U_{b_{i_1}, b_{i_2}} + V_{b_{i_1}, a, b_{i_2}}$  and  $V_{a, b_{i_3}} V_{a, b_{i_4}} = U_a U_{b_{i_3}, b_{i_4}} + V_{b_{i_3}, b_{i_4}, a}$ . Therefore

$$\begin{aligned} V_{a, b_{i_1}} V_{a, b_{i_2}} V_{a, b_{i_3}} V_{a, b_{i_4}} &\equiv U_a U_{b_{i_1}, b_{i_2}} U_a U_{b_{i_3}, b_{i_4}} \equiv U_{b_{i_1}, a, b_{i_2}} U_a U_{b_{i_3}, b_{i_4}} \\ &= V_{b_{i_1}, a, b_{i_2}} V_{b_{i_3}, a, b_{i_4}} + V_{b_{i_1}, a, b_{i_2}} V_{b_{i_3}, U_a, b_{i_4}} - V_{b_{i_1}, U_a, b_{i_2}} U_a U_{b_{i_3}, b_{i_4}} \equiv 0 \pmod{\mathfrak{P}}. \end{aligned}$$

This proves the lemma.

LEMMA 2.2. For any elements  $a_1, \dots, a_m \in P^\sigma$  and  $b_1, \dots, b_m \in P^{-\sigma}$ ,  $m = 32n^2$ , the equality

$$\prod_{i=1}^m V_{a_i, b_i} = \sum_j W_j V_{c_j, d_j}$$

holds, where  $W_j \in \widehat{\text{Ass}}\langle V^{-\sigma} \rangle$ ,  $c_j \in P^{-\sigma} U_{P^\sigma}$  and  $d_j \in P^\sigma U_{P^{-\sigma}}$ .

PROOF. We have

$$\prod_{i=1}^{32n^2} V_{a_i, b_i} = \prod_{k=1}^{8n} \left( \prod_{i=4n(k-1)+1}^{4nk} V_{a_i, b_i} \right).$$

By Lemma 2.1,

$$\prod_{i=4n(k-1)+1}^{4nk} V_{a_i, b_i} = \sum_r \tilde{W}_{k,r} V_{r_{k,r}, t_{k,r}},$$

where  $\tilde{W}_{k,r} \in \widehat{\text{Ass}}\langle V^{-\sigma} \rangle$  and either  $r_{k,r} \in P^{-\sigma} U_{P^\sigma}$  or  $t_{k,r} \in P^\sigma U_{P^{-\sigma}}$ . We consider  $\prod_k \tilde{W}_{k,r_k} V_{r_{k,r_k}, t_{k,r_k}}$ . We set

$$N_+ = \{1 \leq k \leq 8n \mid r_{k,r_k} \in P^{-\sigma} U_{P^\sigma}\}, \quad N_- = \{1 \leq k \leq 8n \mid t_{k,r_k} \in P^\sigma U_{P^{-\sigma}}\}.$$

Since  $|N_+| + |N_-| > 8n$ , either  $|N_+| > 4n$  or  $|N_-| > 4n$ . Assume that the second possibility is realized. With the aid of identity (4), moving the operators  $V_{r_{k,r_k}, t_{k,r_k}}$  to the right end, we obtain on the right  $\prod_{j=1}^{4n} V_{s_j, q_j}$ , where  $q_j \in P^\sigma U_{P^{-\sigma}}$ . Even if only one element  $s_j$ ,  $1 < j < 4n$ , lies in  $P^{-\sigma} U_{P^\sigma}$ , with the aid of (4) by moving the corresponding operator to the right we obtain the assertion of the lemma. If  $\{s_1, \dots, s_{4n}\} \subseteq \{x_1, \dots, x_n\}$ , we repeat the arguments of Lemma 2.1. This proves the lemma.

We denote by  $M_1(P)$  the subalgebra of  $M(P)$  generated by the operators from  $\text{Ass}\langle V^{-\sigma} \rangle$ , and we set  $U_{P^\sigma, P}^\sigma = \{U_{a,b}^\sigma \mid a, b \in P^\sigma\}$ ,  $\sigma \in \{+, -\}$ . Let  $s = (64n^2)^2$ .

LEMMA 2.3.  $P^{[1]} M_1^s(P) \subseteq P^{[2]}$ .

PROOF. 1) Let  $a_i, a'_i \in P^\sigma$  and  $b_i, b'_i \in P^{-\sigma}$ ,  $1 < i < 32n^2$ . Then

$$P^\sigma U_{P^{-\sigma}} \prod_{i=1}^{32n^2} U_{a_i, a'_i}^\sigma U_{b_i, b'_i}^{-\sigma} \subseteq (P^{[2]})^{-\sigma}.$$

In fact, by (6) for  $1 < i < 32n^2$  we have  $U_{a_i, a'_i}^\sigma U_{b_i, b'_i}^{-\sigma} \in \text{Ass}\langle V^{-\sigma} \rangle$ . Therefore the assertion to be proved follows from Lemma 2.2.

2) Let  $W$  be a word from the operators  $\{U_{a_i, a'_i}, U_{b_i, b'_i}, V_{a_i, b_i}, V_{b_i, a_i}, a_i, a'_i \in P^\sigma, b_i, b'_i \in P^{-\sigma}\}$  in which the operators  $U_{a_i, a'_i}$  and  $U_{b_i, b'_i}$  occur at least  $64n^2$  times. Then using (5) the word  $W$  can be rewritten in the form

$$W = \sum_{\alpha} W'_\alpha \left( \prod_{i=1}^{32n^2} U_{a_{i\alpha}, a'_{i\alpha}}^\sigma U_{b_{i\alpha}, b'_{i\alpha}}^{-\sigma} \right) W''_\alpha,$$

where  $W''_\alpha \in \widehat{\text{Ass}}\langle V^{-\sigma} \rangle$ . By 1),  $P^{[1]}W \subseteq P^{[2]}$ .

3) Now let the operators  $U_{a_i, a'_i}$  and  $U_{b_i, b'_i}$  occur in the word  $W$  less than  $64n^2$  times. Then  $W$  can be written in the form  $W = \prod_{i=1}^k (W_i U_i) W_{k+1}$ , where  $W_i \in \widehat{\text{Ass}}\langle V^\sigma \rangle$  and  $U_i \in U_{P, P}^\pm$ ,  $1 < i < k+1$ ,  $k < 64n^2$ . By the choice of the number  $s$  the length of some word  $W_i$  is not less than  $64n^2$ . Dividing the word  $W_i$  into two subwords of length not less than  $32n^2$  and using Lemma 2.2, we have  $W_i = \sum_{\alpha} W'_\alpha V_{c_\alpha, d_\alpha} V_{r_\alpha, t_\alpha}$ , where  $W'_\alpha \in \widehat{\text{Ass}}\langle V^\sigma \rangle$  and  $c_\alpha, d_\alpha, r_\alpha, t_\alpha \in P^{[1]}$ . Furthermore, by (5) and (6),

$$U_i W_{i+1} \dots W_{k+1} \in \text{Ass}\langle V^\sigma \rangle + \widehat{\text{Ass}}\langle V^\sigma \rangle U_{P, P}^{-\sigma}.$$

For the proof of the lemma it is now sufficient for us to verify that if  $c, d, r, t \in P^{[1]}$ , then  $P^{[1]}V_{c,d}V_{r,t}U_{P, P} \subseteq P^{[2]}$ . But this follows from the identities

$$\begin{aligned} xV_{c,d}V_{r,t}U_z &= x(-V_{c,d}U_zV_{t,r} - U_{z,z}V_{r,t}V_{d,c} + U_{zV_{c,d}z}V_{r,t} + U_{z,z}V_{r,t}V_{d,c}) \\ &\times xU_{z,z}V_{r,t}V_{d,c} = zV_{r,t}V_{d,c}V_{x,z} = zV_{r,t}V_{x,z}V_{d,c} \\ &+ zV_{r,t}V_{d,c}V_{x,z} - zV_{r,t}V_{d,c}V_{x,z} \in P^{[2]}. \end{aligned}$$

This proves the lemma.

The algebra  $M(P)$  is generated by the subalgebra  $M_1(P)$  and the operators  $U_{x_i}$  and  $U_{y_j}$ ,  $1 < i, j < n$ . It is not difficult to see (it suffices to verify it for the generators) that for any operator  $T \in M(P)$  we have  $M_1(P)T \subseteq M_1(P) + M(P)M_1(P)$ . Therefore, if  $I$  is the ideal generated in  $M(P)$  by the set  $M_1(P)$ , then  $I^2 = M(P)M_1^2(P) + M_1^2(P)$  and  $P^{[1]}I^2 \subseteq P^{[2]}$ .

We denote by  $L$  the left ideal of  $M(P)$  generated by operators of the form  $U_a U_b$ , where  $a \in P^{-\sigma} U_{P^{-\sigma}}$  and  $b \in P^\sigma U_{P^{-\sigma}}$ .

LEMMA 2.4.  $P^{[1]}(L + LM_1(P)) \subseteq P^{[2]}$ .

PROOF. We have already noted above that  $M_1(P) = \text{Ass}\langle V^\pm \rangle + U_{P, P}^\pm \ast \text{Ass}\langle V^\pm \rangle U_{P, P}^\pm$ . Therefore it is sufficient to verify that  $P^{[1]}U_a U_b U_{x_y} \in P^{[2]}$  for  $x, y \in P^\sigma$ . But this follows from (7) and (5). The lemma is proved.

We denote by  $\Pi U$  the semigroup generated by the operators  $\{U_{x_i}, U_{y_j} \mid 1 < i, j < n\}$ .

LEMMA 2.5.  $(\Pi U)^{4n+4} \subseteq L + I$ .

(We recall that  $I$  is the ideal generated in  $M(P)$  by  $M_1(P)$ .)

PROOF. We consider a word  $W \in \Pi U$ ,  $W = \prod_{i=1}^{2n+2} (U_{a_i} U_{b_i})$ ,  $a_i \in \{x_1, \dots, x_n\}$ ,  $b_i \in \{y_1, \dots, y_n\}$ ,  $1 < i < 2n+2$ . Among the elements  $\{a_i \mid n+2 < i < 2n+2\}$  at least

two elements with different subscripts are equal. By (8) we have

$$U_{a_i}U_{b_j}U_{a_k} + U_{a_k}U_{b_j}U_{a_i} = U_{\{a_i b_j a_k\}} \\ - V_{a_i b_j}U_{a_k}V_{b_j a_i} - U_{a_k}U_{b_j}U_{a_i a_k} \equiv U_{\{a_i b_j a_k\}} \pmod{I}.$$

Moving the identical operators  $U_a$  to the right end modulo the submodule  $\Phi(\Pi U)U_{P^{(1)}} + I$  and applying Macdonald's identity, we obtain  $\prod_{i=n+2}^{2n+2}(U_a U_b) \in \Phi(\Pi U)U_{P^{(1)}} + I$ . Analogously,  $\prod_{i=1}^{n+1}(U_a U_b) \in \Phi(\Pi U)U_{P^{(1)}} + I$ . Applying (8) in succession, we move the operators from  $U_{P^{(1)}}$  to the right end modulo the ideal  $I$ . This proves the lemma.

LEMMA 2.6.  $P^{(1)}(\Pi U)^{(4n+4)s} + (\Pi U)^{(4n+4)s}M(P) \subseteq P^{(2)}$ .

PROOF. It is not hard to see that  $M(P) = \Phi(\Pi U) + (\Pi U)M_1(P) + M_1(P)$ . For the proof of the lemma it suffices to verify that

$$P^{(1)}(\Pi U)^{(4n+4)s} + (\Pi U)^{(4n+4)s}M_1(P) \subseteq P^{(2)}.$$

We shall show by induction on  $k$  that for any natural numbers  $0 < k < s$  and  $q > 0$  we have  $P^{(1)}(\Pi U)^{(4n+4)k}M_1(P)^{s-k+q} \subseteq P^{(2)}$ . For  $k = 0$  this follows from Lemma 2.3. We assume that the assertion being proved is true for  $k < s$ . Then

$$P^{(1)}(\Pi U)^{(4n+4)(k+1)}M_1(P)^{s-k-1+q} = P^{(1)}(\Pi U)^{(4n+4)k}(\Pi U)^{(4n+4)}M_1(P)^{s-k-1+q} \\ \subseteq P^{(1)}(\Pi U)^{(4n+4)k}(L + (\Pi U)M_1(P) + M_1(P))M_1(P)^{s-k-1+q} \\ \subseteq P^{(1)}LM_1(P)^{s-k-1+q} + P^{(1)}(\Pi U)^{(4n+4)k}M_1(P)^{s-k+q} \subseteq P^{(2)}.$$

For  $k = s$  we have  $P^{(1)}(\Pi U)^{(4n+4)s}M_1(P)^q \subseteq P^{(2)}$ . This proves the lemma. We set  $d = ((4n + 4)s - 1)s + 1$ .

LEMMA 2.7.  $P^{(1)}M^d(P) \subseteq P^{(2)}$ .

PROOF. Let  $W$  be a word from  $\Pi U$ ,  $M_1(P)$  of length  $d$ . If  $W$  has degree at least  $s$  modulo  $M_1(P)$ , then  $W \in I^s$  and everything follows from Lemma 2.3. If the degree of  $W$  modulo  $M_1(P)$  is less than  $s$ , then  $W = \prod_{i=1}^s(W_i W'_i)W_s$ , where  $W_i$  is either an element of  $\Pi U$  or the identity operator and  $W'_i$  is either an element of  $M_1(P)$  or the identity operator. By the choice of the number  $d$  one of the operators  $W_i$ ,  $1 < i < s$ , lies in  $(\Pi U)^{(4n+4)s}$ . It now only remains to apply Lemma 2.6, which proves the lemma.

REMARK. If  $\frac{1}{2} \in \Phi$ , then  $M_1(P) = M(P)$ . In this case Lemmas 2.4–2.6 are not needed.

PROOF OF THEOREM 2. It is easy to see that the algebra  $M(P)$  is generated by the operators  $U_x^+$ ,  $U_y^+$ ,  $U_{x,y}^+$ ,  $U_{x,y}^-$ ,  $V_{x,y}^-$  and  $V_{y,x}^+$ . From Lemma 2.6 it follows that the pair  $P^{(1)}$  is generated by words of degree not greater than  $2d + 1$  modulo  $\{x_i, y_j \mid 1 < i, j < n\}$ . This proves the theorem.

PROOF OF THEOREM 3. Let the pair  $P = (P^+, P^-)$  be generated by the elements  $\{x_1, \dots, x_n \in P^+, y_1, \dots, y_n \in P^-\}$  and be solvable. Carrying out an induction on the degree of solvability (the pair  $P^{(1)}$  is finitely-generated!), one can assume that the pair  $P^{(1)}$  is nilpotent, say of degree  $r$ . Analogously to what was done in Lemmas 2.2–2.6, it is easy to show that

$$P^{(1)}\text{Ass}\langle V^\sigma \rangle^{mr} = 0, \quad P^{(1)}M_1(P)^{2(mr)^2} = 0, \quad P^{(1)}I^{2(mr)^2} = 0.$$

For the proof of Lemma 2.5 it was noted that  $U_a U_b U_c + U_c U_b U_a \equiv U_{(abc)} \pmod{I}$ . Therefore  $(\Pi U)^{(2n+2)r} \equiv M(P)U_{P^{(1)}}^r + U_{P^{(1)}}^r \pmod{I} = 0 \pmod{I}$ . Hence  $(\Pi U)^{(2n+2)r} \subseteq I$  and  $(\Pi U)^{(2n+2)r \cdot 2(mr)^j} = 0$ . Let  $t = 2(mr)^2(2n+2) \cdot r \cdot 2(mr)^2$ . Proceeding as in the proof of Lemma 2.7, we obtain  $P^{(1)}M'(P) = 0$  and  $M^{t+1}(P) = 0$ . This proves the theorem.

From Theorems 2 and 3 immediately follows

**THEOREM 4.** *Every Jordan pair has a locally nilpotent radical.*

We call a Jordan pair  $P$  *prime* if for any two ideals  $I = (I^+, I^-)$  and  $J = (J^+, J^-)$  of the pair  $P$  the equalities  $I^+ U_{J^-} = 0$  and  $I^- U_{J^+} = 0$  imply  $I = 0$  or  $J = 0$ .

As in the case of algebras, the radical of a Jordan pair is naturally called *special* if a semisimple (in the sense of this radical) pair is approximable by semisimple prime pairs. The analogue of a theorem of I. P. Šestakov holds (see [5]).

**THEOREM 5.** *The locally nilpotent radical in Jordan pairs is special.*

We do not give a proof, since modulo Theorems 2 and 3 the proof does not differ from that mentioned in [5].

### §3. Proof of Theorem 1

In this section we shall denote by  $\mathfrak{J}$  the pair  $\mathfrak{J} = (\mathfrak{J}^+, \mathfrak{J}^-)$ , where  $\mathfrak{J}^\sigma = [\mathfrak{L}, b_\sigma]$ . As above, it is assumed that the algebra  $\mathfrak{L}$  is generated by the set  $C_1(\mathfrak{L})$ , and the ring of scalars  $\Phi$  contains  $\frac{1}{6}$ .

We call the set  $\{x^\sigma \in P^\sigma \mid x^\sigma U_{P^{-\sigma}} = 0\}$  the *kernel*  $\text{Ker } P$  of the Jordan pair  $P = (P^+, P^-)$ . It is easy to see that if  $P$  does not have 2-torsion, then  $\text{Ker } P$  is a locally nilpotent ideal in  $P$ .

**LEMMA 3.1.** *Let  $K^+$  and  $K^-$  be abelian inner ideals of a Lie algebra  $L$ , and let  $L = K^+ + K^- + [K^+, K^-]$ . In addition, let  $I = (I^+, I^-)$  be an ideal of the pair  $K = (K^+, K^-)$  containing  $\text{Ker } K$ . Denote by  $\text{ug}(I)_L$  the ideal of  $L$  generated by the set  $I^+ \cup I^-$ . Then  $K^\sigma \cap \text{ug}(I)_L = I^\sigma$ .*

**PROOF.** It is easy to see that  $\text{ug}(I)_L = [I^+, K^-] + [I^-, K^+] + I^- + I^+$ . We assume that a nonzero element  $a$  lies in  $K^+ \cap \text{ug}(I)_L$ , i.e.  $a = a_0 + a_+ + a_-$ , where  $a_0 \in [K^+, K^-]$  and  $a_\sigma \in I^\sigma$ . Then  $a - a_+ \in K^+ \cap (K^- + [K^+, K^-]) \subseteq \text{Ker } K \subseteq I$ . This means  $a \in I^+$ , which proves the lemma.

An element  $a \in P^\sigma$  is called an *absolute zero divisor* of the Jordan pair  $P = (P^+, P^-)$  if  $P^{-\sigma} U_a = 0$ . It is easy to see that any element from  $\mathfrak{J}^\sigma \cap C_1(\mathfrak{L})$  is an absolute zero divisor in  $\mathfrak{J}$ . The pair  $\mathfrak{J}$  is therefore generated by its absolute zero divisors.

We recall that the goal of this section is the proof of the local nilpotency of the pair  $\mathfrak{J}$ . We consider an arbitrary finite set of absolute zero divisors of the pair  $\mathfrak{J}$ , and we generate with them a subpair  $\mathfrak{J}_1 = (\mathfrak{J}_1^+, \mathfrak{J}_1^-)$  and a Lie subalgebra  $\mathfrak{L}_1 = \mathfrak{J}_1^+ + \mathfrak{J}_1^- + [\mathfrak{J}_1^+, \mathfrak{J}_1^-]$ . If the pair  $\mathfrak{J}_1$  is not nilpotent, then by Theorem 5 it contains a prime ideal  $I \triangleleft \mathfrak{J}_1$  modulo which the factor pair does not contain locally nilpotent ideals. By Lemma 3.1,  $\text{ug}(I)_{\mathfrak{L}_1} \cap \mathfrak{J}_1^\sigma = I^\sigma$ . Now factoring the algebra  $\mathfrak{L}_1$  modulo the ideal  $\text{ug}(I)_{\mathfrak{L}_1}$ , if necessary and considering  $\mathfrak{J}_1$  and  $\mathfrak{L}_1$  instead of  $\mathfrak{J}$  and  $\mathfrak{L}$ , respectively, we shall assume that the pair  $\mathfrak{J}$  is prime, does not contain locally nilpotent ideals, and is generated by a finite collection of absolute zero divisors. We shall also assume  $\mathfrak{L}$  is represented in the form  $\mathfrak{L} = \mathfrak{J}^+ + \mathfrak{J}^- + [\mathfrak{J}^+, \mathfrak{J}^-]$ .

LEMMA 3.2. *The pair  $\mathfrak{J}$  satisfies the following identities:*

- 1)  $U_x V_{y,x} = 0,$
- 2)  $U_y U_x = -V_{xU_y,x} = -V_{yU_x},$
- 3)  $U_x U_y U_x + U_z U_y U_x = -U_{z,x} V_{yU_x},$
- 4)  $U_x U_y U_x = 0.$

PROOF. 1) Let  $x \in [\mathfrak{L}, b_+], y = [v, b_-]$  and  $z = [w, b_-]$ . It is necessary for us to show that  $[\mathfrak{L} b_- x x [b_-, v] \bar{x} [w, b_-][w, b_-]] = 0$ , or in other words that  $b_-^* x^* x^* [b_-, v]^* x^* b_-^* w^* w^* b_-^* = 0$ . Any element from  $\mathfrak{T}^+ \cup \mathfrak{T}^-$  is Engel of third order. Therefore  $x^{*3} = 0$ , and for any element  $y \in \mathfrak{L}$  we have  $3(x^{*2} y^* x^* - x^* y^* x^{*2}) = 0$ . In view of the absence of 3-torsion in the algebra  $\mathfrak{L}$ , for any element  $y \in \mathfrak{T}$  we have  $x^{*2} y^* x^* = x^* y^* x^{*2}$ . Now

$$b_-^* x^* x^* b_-^* x^* v^* b_-^* = b_-^* x^* b_-^* x^* v^* b_-^* = 0.$$

We have shown that the pair  $\mathfrak{J}$  satisfies the identity  $U_x V_{y,x} U_x = 0$ . Since  $\text{Ker } \mathfrak{J} = 0$ , the pair  $\mathfrak{J}$  satisfies the identity  $U_x V_{y,x} = 0$ .

2) From 1) it follows that  $\mathfrak{J}$  satisfies the identity  $y U_x V_{y,x} = 0$ . Applying partial linearization in  $x$  to this identity, we have  $a V_{xU_y,x} + a U_y U_x = 0$ , whence  $U_y U_x = -V_{xU_y,x} = -V_{yU_x}$ .

3) By 2),  $U_x U_y U_x + U_z U_y U_x = -V_{yU_x,x} U_x - U_z V_{yU_x}$ . Now using (5), we have  $V_{yU_x,x} U_x + U_z V_{yU_x} = U_{z,x} V_{yU_x}$ .

4) By 2) and 1),  $U_x U_y U_x = -U_x V_{xU_y,x} = 0$ .

This proves the lemma.

We denote by  $U^\sigma$  the  $\Phi$ -submodule of the multiplication algebra  $M(\mathfrak{J})$  generated by the operators  $\{U_a \mid a \in \mathfrak{J}^\sigma\}$ .

LEMMA 3.3. *Let  $b \in \mathfrak{J}^+$  and  $c \in \mathfrak{J}^-$  be absolute zero divisors. Then  $V_{b,c} U^+ V_{c,b} = V_{b,c}^2 = 0$ .*

PROOF. The equality  $V_{b,c}^2 = 0$  follows from the fact that  $b, c, [b, c] \in C_1(\mathfrak{L})$ . Furthermore, in an arbitrary Jordan pair the identity  $V_{x,y} U_x V_{y,x} = U_{x,x} U_y U_x + V_{x,y} U_x V_{y,x} - U_{x,x} U_y U_x$  is satisfied. Setting  $x = b, y = c, z = a \in \mathfrak{T}^+$ , we obtain  $V_{b,c} U_a V_{c,b} = 0$ . This proves the lemma.

It is known (see (4)) that the  $\Phi$ -module generated by the operators  $\{V_{x,y} \mid x \in \mathfrak{J}^{-\sigma}, y \in \mathfrak{J}^\sigma\}$  is a subalgebra of the Lie algebra  $(M(\mathfrak{J}))^{(-)}$ . We denote it by  $V^\sigma$ . Then  $\text{Ass}\langle V^\sigma \rangle$  is an associative enveloping algebra for the Lie algebra  $V^\sigma$ .

LEMMA 3.4. *There exists a natural number  $m$  such that  $\text{Ass}\langle V^- \rangle$  is generated as a  $\Phi$ -module by the products  $v_1 \dots v_k, v_i \in V^-, 1 < k \leq m$ .*

PROOF. Let the pair  $\mathfrak{J}$  be generated by a finite collection of absolute zero divisors  $\{x_i \in \mathfrak{J}^+, y_j \in \mathfrak{J}^-\}$ . Then the multiplication algebra  $M(\mathfrak{J})$  is generated by the operators  $U_{x_i x_j}, U_{y_i y_j}, V_{x_i y_j}$  and  $V_{y_i x_j}$ . Hence

$$\begin{aligned} \mathfrak{T}^+ &= \sum_i \Phi x_i + \sum_{i,j} \mathfrak{T}^- U_{x_i x_j} + \sum_{i,j} \mathfrak{T}^+ V_{y_i y_j}, \\ \mathfrak{T}^- &= \sum_i \Phi y_i + \sum_{i,j} \mathfrak{T}^+ U_{y_i y_j} + \sum_{i,j} \mathfrak{T}^- V_{x_i x_j}. \end{aligned}$$

In other words,  $\mathfrak{F}^\sigma = \sum_{i=1}^d \mathfrak{M}_i^\sigma$ , where each  $\Phi$ -module  $\mathfrak{M}_i^\sigma$  consists of absolute zero divisors of the pair  $\mathfrak{F}$ . We denote by  $V_{i,j}$  the  $\Phi$ -module generated by the operators  $V_{x,y}$ ,  $x \in \mathfrak{M}_i^+$ ,  $y \in \mathfrak{M}_j^-$ . Then  $V^- = \sum_{i,j} V_{i,j}$ . In addition, in view of (4), for any elements  $a_1, a_2 \in \mathfrak{M}_i^+$  and  $b_1, b_2 \in \mathfrak{M}_j^-$  we have  $\{V_{a_1,b_1}, V_{a_2,b_2}\} = 0$ . By Lemma 1.10 this means the set  $V_{i,j}$  is associatively nilpotent of degree 4. In all there will be  $d_+d_-$  subspaces  $V_{i,j}$ . We set  $m = 3d_+d_-$ . From what has been said above it follows that the number  $m$  satisfies the requirement of the lemma. This proves the lemma.

LEMMA 3.5. Let  $I = (I^+, I^-)$  be a nonzero ideal of the pair  $\mathfrak{F}$ , let  $v = \sum_i V_{a_i,b_i} \in V^-$ ,  $a_i \in \mathfrak{F}^+$ ,  $b_i \in \mathfrak{F}^-$ , and let  $I^-v = 0$ . Then  $v = 0$ .

PROOF. We denote by  $v^*$  the element  $\sum_i V_{b_i,a_i} \in V^+$ , and show that  $\mathfrak{F}^+v^* = 0$ . From the fact that  $\Phi \ni \frac{1}{2}$  and 2) of Lemma 3.2, it follows that the ideal generated by the set  $\mathfrak{F}^+v^*$  will be the pair

$$P = (\mathfrak{F}^+v^*\widehat{\text{Ass}}\langle V^+ \rangle, \mathfrak{F}^+v^*U^-\widehat{\text{Ass}}\langle V^+ \rangle) = (P^+, P^-).$$

We verify that  $\{I^\sigma(I^{-\sigma}P^\sigma I^{-\sigma})I^\sigma\} = 0$ . In fact, for  $\sigma = +$  we have

$$\{I^-(\mathfrak{F}^+v^*\widehat{\text{Ass}}\langle V^+ \rangle)I^-\} \subseteq \{I^-(\mathfrak{F}^+v^*)I^-\} + \{I^-(\mathfrak{F}^+v^*\widehat{\text{Ass}}\langle V^- \rangle)I^-\}.$$

and  $\{I^-(\mathfrak{F}^+v^*)I^-\} \subseteq \{I^-\mathfrak{F}^+I^-\}v + \{I^-\mathfrak{F}^+(I^-v)\} = 0$ . Hence  $\{I^-P^+I^-\} = 0$ .

For  $\sigma = -$  the equality  $\{I^-(I^+(\mathfrak{F}^+v^*U^-\widehat{\text{Ass}}\langle V^+ \rangle)I^+)I^-\} = 0$  follows from what was proved above and (8). Since the pair  $\mathfrak{F}$  is prime, we now have  $P = 0$ . This means  $v^* = 0$ . As above, it is easy to establish that

$$\{\mathfrak{F}^+(\mathfrak{F}^-v)\mathfrak{F}^+\} \subseteq \{\mathfrak{F}^+\mathfrak{F}^-\mathfrak{F}^+\}v^* + \{(\mathfrak{F}^+v^*)\mathfrak{F}^-\mathfrak{F}^+\} = 0.$$

Consequently,  $\mathfrak{F}^-v \subseteq \text{Ker } \mathfrak{F} = 0$  and  $v = 0$ . This proves the lemma.

LEMMA 3.6. Let the element  $a \in \mathfrak{F}^\sigma$  and the operators  $v_i \in V^\sigma$ ,  $1 \leq i \leq 4$ , be such that  $v_i^2 = v_i V^\sigma v_i = v_i^{\sigma^2} = v_i^\sigma V^{-\sigma} v_i^\sigma = 0$  and for any permutation  $\{i_1 i_2 i_3 i_4\} = \{1 2 3 4\}$  the equality  $c = av_{i_1} \dots v_{i_4} = av_{i_1} \dots v_{i_4}$  is valid. Then  $c = 0$ .

PROOF. By Lemma 1.10 the element  $c$  is a  $c_{(2)}$ -element and generates a locally nilpotent ideal in  $\mathfrak{L}$ . From this it is not difficult to deduce that the ideal generated by the element  $c$  in the pair  $\mathfrak{F}$  will also be locally nilpotent. This proves the lemma.

LEMMA 3.7. a) Let  $v \in V^-$  and  $v^2 = v\widehat{\text{Ass}}\langle V^- \rangle v = 0$ . Then  $v = 0$ .

b) Let  $v \in V^-$  and  $v^2 = vV^-v = 0$ , and for any elements  $v_1, \dots, v_6 \in V^-$  let  $vv_1v_2v_3v_4vv_5v_6v = 0$ . Then  $v = 0$ .

PROOF. a) We assume that  $\mathfrak{F}^-v \neq 0$ . Then the set  $\mathfrak{F}^-v$  generates a nonzero ideal  $P = (P^+, P^-)$  in the pair  $\mathfrak{F}$ , where  $P^- = \mathfrak{F}^-v\widehat{\text{Ass}}\langle V^- \rangle$ . By assumption,  $P^-v = 0$ . The equality  $v = 0$  now follows from Lemma 3.5.

b) If a nonzero element  $v \in V^-$  satisfies the requirements of b), then, analogously to what was done in §1, we can easily prove the existence of a sandwich of thickness  $m$  in the pair  $(V^-, \widehat{\text{Ass}}\langle V^- \rangle)$ , where  $m$  is the number from Lemma 3.4. However, this contradicts a).

This proves the lemma.

LEMMA 3.8. Let the operators  $u_\sigma \in U^\sigma$  be such that  $u_-u_+ = u_+u_- = u_\sigma U^{-\sigma} u_\sigma = 0$ . Then  $u_+\widehat{\text{Ass}}\langle V^+ \rangle u_- = 0$ .

PROOF. If  $u \in U^\sigma$  and  $v \in V^\sigma$ , we denote by  $[u, v]$  the operator  $v^*u + uv \in U^\sigma$ .

The  $\Phi$ -module  $V^+$  is generated by the operators  $V_{a_-, b_+}$ , where  $a_-$  and  $b_+$  are absolute zero divisors. For the proof of the lemma it is therefore sufficient to show that  $[u_+, V_{a_-, b_+}] = 0$  for any such operator  $V_{a_-, b_+}$ . In fact, the pair of operators  $[u_+, V_{a_-, b_+}]$ ,  $u_-$  will then have the same properties as the pair  $u_+, u_-$ , and it is possible to conclude that  $[[u_+, V_{a_-, b_+}], V_{a_-, b_+}]u_- = 0$  for any operator  $V_{a_-, b_+}$ , etc.

We set  $v_0 = V_{a_-, b_+}$  and  $[u_+, v_0] = \tilde{u}_+$ , and show that  $v = \tilde{u}_+u_- = 0$ . For this it suffices to verify that the element  $v \in V^-$  satisfies the conditions of Lemma 3.7b). The equalities  $\tilde{u}_+U^-\tilde{u}_+ = vV^-v = v^2 = 0$  are verified directly by means of Lemma 3.3. We now consider arbitrary absolute zero divisors  $a_i \in \mathfrak{F}^+$  and  $c_i \in \mathfrak{F}^-$ ,  $1 < i < 6$ , and operators  $v_i = V_{a_i, c_i} \in V^-$ . It is easy to see that

$$\begin{aligned} \tilde{u}_+u_-v_1v_2\tilde{u}_+u_-v_3v_4\tilde{u}_+u_-v_5v_6\tilde{u}_+u_- &= \tilde{u}_+u_-([u_+, v_1][u_-, v_2] \\ &+ [\tilde{u}_+, v_2][u_-, v_1])([\tilde{u}_+, v_3][u_-, v_4] + [\tilde{u}_+, v_4][u_-, v_3]) \\ &\times ([\tilde{u}_+, v_5][u_-, v_6] + [\tilde{u}_+, v_6][u_-, v_5]). \end{aligned}$$

We shall show that  $\tilde{u}_+u_- \prod_{k=1}^3 [\tilde{u}_+, v_k][u_-, v_k] = 0$  for any collection of indices  $1 < i_k, j_k < 6$ . For any operators  $u_1 \in U^+$  and  $u_2 \in U^-$  and any  $1 < i < 6$  we have

$$[u_-, v_i]u_1u_- + u_-u_1[u_-, v_i] = 0, \quad [\tilde{u}_+, v_i]u_2\tilde{u}_+ + \tilde{u}_+u_2[\tilde{u}_+, v_i] = 0.$$

Furthermore,

$$[\tilde{u}_+, v_k] = [[u_+, v_0]v_k] = [u_+, [v_0, v_k]] - [[u_+, v_k], v_0].$$

But  $[[u_+, v_k], v_0]u_+[u_+, v_0] = -[[u_+, v_k], v_0]u_-v_0u_+ = 0$ , because  $v_0U^-v_0^* = v_0V^+v_0 = 0$ . Consequently,

$$\tilde{u}_+u_- \prod_{k=1}^3 [\tilde{u}_+, v_k][u_-, v_k] = \tilde{u}_+u_- \prod_{k=1}^3 ([u_+, [v_k, v_0]][u_-, v_k]).$$

It now only remains to note that  $\tilde{u}_+u_-$  and  $[u_+, [v_k, v_0]][u_-, v_k]$ ,  $1 < k < 3$ , satisfy the conditions of Lemma 3.6, which proves the lemma.

LEMMA 3.9. Let the operators  $u_\sigma \in U^\sigma$  satisfy the conditions of Lemma 3.8. Then either  $u_+ = 0$  or  $u_- = 0$ .

PROOF. We assume that  $u_+ \neq 0$ . Denote by  $P = (P^+, P^-)$  the ideal generated by the set  $\mathfrak{F}^-u_+$ . Then  $P^+ = \mathfrak{F}^-u_+ \widehat{\text{Ass}}\langle V^+ \rangle$ , and by Lemma 3.8 we have  $P^+u_- = 0$ . But  $u_-U^+ \subseteq V^+$ , whence by Lemma 3.5 we have  $u_-U^+ = 0$ . This means  $\mathfrak{F}^+u_- \subseteq \text{Ker } \mathfrak{F} = 0$ , i.e.  $u_- = 0$ . This proves the lemma.

Let  $a_+ \in \mathfrak{F}^+$  and  $b_-, c_- \in \mathfrak{F}^-$ . By 4) of Lemma 3.2 the operators  $U_{a_+} \in U^+$  and  $U_{c_-}U_{a_+}U_{b_-} + U_{b_-}U_{a_+}U_{c_-} \in U^-$  satisfy the conditions of Lemma 3.8. By Lemma 3.9 this means we have  $U_{c_-}U_{a_+}U_{b_-} + U_{b_-}U_{a_+}U_{c_-} = 0$ . Hence for any elements  $a_1, \dots, a_4 \in \mathfrak{F}^+$  and  $b_1, \dots, b_4 \in \mathfrak{F}^-$  the operators  $v_i = U_{a_i}U_{b_i}$  commute and satisfy the conditions of Lemma 3.6. This means  $\prod_{i=1}^4 (U_{a_i}U_{b_i}) = 0$ , which contradicts the fact that  $\text{Ker } \mathfrak{F} = 0$ . This proves Theorem 1.

COROLLARY. Let  $\mathfrak{L}$  be a Lie algebra without additive 6-torsion, and  $A$  an associative enveloping algebra for  $\mathfrak{L}$ . Suppose  $A$  is generated by the set  $C_1(\mathfrak{L}, A)$ . Then  $A$  is locally nilpotent.

#### §4. The McCrimmon radical of a Jordan pair is locally nilpotent

The smallest ideal of a Jordan pair  $P = (P^+, P^-)$  modulo which the quotient pair does not contain absolute zero divisors is called the *McCrimmon radical* of the Jordan pair  $P$  (denoted  $\mathfrak{M}(P)$ ).

We denote by  $Z(P)$  the ideal generated in the pair  $P$  by the set of all its absolute zero divisors. It is easy to see that  $Z(P) = (Z^+, Z^-)$ , where  $Z^\sigma$  is the  $\Phi$ -module generated by the absolute zero divisors contained in  $P^\sigma$ .

We set by definition  $\mathfrak{M}_1(P) = Z(P)$  and let the ideal  $\mathfrak{M}_\alpha(P)$  be already defined for all ordinals  $\alpha$  such that  $\alpha < \beta$ . If  $\beta$  is a limit ordinal, we set  $\mathfrak{M}_\beta(P) = \bigcup_{\alpha < \beta} \mathfrak{M}_\alpha(P)$ . If the ordinal  $\beta$  is not a limit, we define  $\mathfrak{M}_\beta(P)$  as the ideal such that  $\mathfrak{M}_\beta(P)/\mathfrak{M}_{\beta-1}(P) = Z(P/\mathfrak{M}_{\beta-1}(P))$ . The chain  $\mathfrak{M}_1(P) \subseteq \dots \subseteq \mathfrak{M}_\alpha(P) \subseteq \dots$  stabilizes at some ordinal  $\gamma$ . It is not hard to show that  $\mathfrak{M}_\gamma(P) = \mathfrak{M}(P)$ .

In this section we shall show how Theorem 1 implies

**THEOREM 6.** *The McCrimmon radical of a Jordan pair without 6-torsion is locally nilpotent.*

From what was said above it follows that for the proof of Theorem 6 it is sufficient to prove the local nilpotency of the ideal  $Z(P)$ , i.e. the following theorem.

**THEOREM 7.** *A Jordan pair  $P = (P^+, P^-)$  without additive 6-torsion generated by a finite collection of absolute zero divisors  $\{a_1^+, \dots, a_n^+ \in P^+; a_1^-, \dots, a_n^- \in P^-\}$  is nilpotent.*

**PROOF.** Without loss of generality we can assume that the ring of scalars  $\Phi$  contains  $\frac{1}{6}$ . The Lie algebra  $V^\sigma$  is generated as a  $\Phi$ -module by the set of operators  $\{V_{x,y} \mid x \in P^\sigma \text{ and } y \in P^{-\sigma} \text{ are absolute zero divisors}\}$ . It is not difficult to see that all such operators lie in  $C_1(V^\sigma, \text{Ass}\langle V^\sigma \rangle)$ . By the corollary to Theorem 1 this means the algebra  $\text{Ass}\langle V^\sigma \rangle$  is locally nilpotent. We denote by  $m_\sigma$  the degree of nilpotency of the associative algebra generated by the set of operators  $\{V_{a_i^\sigma, a_j^{-\sigma}} \mid 1 \leq i, j \leq n\}$ , and we set  $m = \max\{m_+, m_-\}$ . In view of (5) and (6),

$$U^{-\sigma} \widehat{\text{Ass}}\langle V^\sigma \rangle U^\sigma \subseteq \widehat{\text{Ass}}\langle V^\sigma \rangle.$$

Consider the set

$$M_\sigma = \{U_{a_i^\sigma, a_j^\sigma} V_{a_{\nu_1}^\sigma, a_{\mu_1}^{-\sigma}} \dots V_{a_{\nu_k}^\sigma, a_{\mu_k}^{-\sigma}} U_{a_p^\sigma, a_q^\sigma} V_{a_{\xi_1}^{-\sigma}, a_{\eta_1}^\sigma} \dots V_{a_{\xi_l}^{-\sigma}, a_{\eta_l}^\sigma} \mid$$

$$1 \leq i, j, p, q, \nu_1, \dots, \nu_k, \mu_1, \dots, \mu_k, \xi_1, \dots, \xi_l, \eta_1, \dots, \eta_l \leq n, 0 \leq k, l \leq m\}.$$

We denote by  $s_\sigma$  the degree of nilpotency of the associative algebra generated by the set  $M_\sigma$ , and we write  $s = \max\{s_+, s_-\}$ . It is now easy to see that the algebra  $M(P)$  generated by the set  $\{U_{a_i^\sigma, a_j^\sigma}, V_{a_i^\sigma, a_j^{-\sigma}}, \sigma = \pm 1, 1 \leq i, j \leq n\}$  is nilpotent of degree not greater than  $2sm$ . This proves the theorem.

**COROLLARY.** *A simple Jordan pair without 6-torsion does not contain absolute zero divisors.*

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